

PIPER JAFFRAY COMPANIES

Form DEFA14A

April 04, 2008

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

SCHEDULE 14A

(RULE 14a-101)

SCHEDULE 14A INFORMATION

PROXY STATEMENT PURSUANT TO SECTION 14(a) OF THE SECURITIES

EXCHANGE ACT OF 1934

Filed by the Registrant

Filed by a Party other than the Registrant

Check the appropriate box:

- Preliminary Proxy Statement
- Confidential, for Use of the Commission Only (as permitted by Rule 14a-6(e)(2))
- Definitive Proxy Statement
- Definitive Additional Materials
- Soliciting Material Pursuant to Section 240.14a-12

PIPER JAFFRAY COMPANIES

---

(Name of Registrant as Specified In Its Charter)

---

(Name of Person(s) Filing Proxy Statement, if Other Than the Registrant)

Payment of Filing Fee (Check the appropriate box):

- No fee required.
- Fee computed on table below per Exchange Act Rules 14a-6(i)(1) and 0-11.

(1) Title of each class of securities to which transaction applies:

---

(2) Aggregate number of securities to which transaction applies:

---

(3) Per unit price or other underlying value of transaction computed pursuant to Exchange Act Rule 0-11 (set forth the amount on which the filing fee is calculated and state how it was determined):

---

(4) Proposed maximum aggregate value of transaction:

---

(5) Total fee paid:

---

Edgar Filing: PIPER JAFFRAY COMPANIES - Form DEFA14A

- o Fee paid previously with preliminary materials.
- o Check box if any part of the fee is offset as provided by Exchange Act Rule 0-11( a)(2) and identify the filing for which the offsetting fee was paid previously. Identify the previous filing by registration statement number, or the Form or Schedule and the date of its filing.

(1) Amount Previously Paid:  
\_\_\_\_\_

(2) Form, Schedule or Registration Statement No.:  
\_\_\_\_\_

(3) Filing Party:  
\_\_\_\_\_

(4) Date Filed:  
\_\_\_\_\_

---

**Piper Jaffray Intranet Posting dated April 7, 2008**

**Headline: Piper Jaffray Shareholders encouraged to vote FOR key business items**

Employees who are shareholders of Piper Jaffray are encouraged to vote FOR key business items to be addressed at the May 7 annual meeting of shareholders:

Election of three directors,

Ratification of the selection of Ernst & Young LLP as independent auditor, and

Approval of our Amended and Restated 2003 Annual and Long-Term Incentive Plan.

As communicated previously in an e-mail from Andrew Duff, the primary purpose for amending the Incentive Plan is to increase by one million the number of shares of Piper Jaffray common stock that may be issued under the plan. **If you are a shareholder, your vote FOR the approval of the requested shares is very important to our firm's future success.**

If you hold shares in the Piper Jaffray Companies Retirement Plan or shares of restricted stock, your proxy materials and voting instructions were sent via e-mail from **PIPER JAFFRAY COMPANIES** on or about March 20. If you inadvertently deleted this e-mail and have not yet voted your shares, a second e-mail will be sent to you the week of April 7. **PLEASE DO NOT DELETE THIS E-MAIL PRIOR TO SUBMITTING YOUR VOTE.** This email contains a unique control number which is required in order to vote your shares.

If you hold shares in a personal brokerage account, you will receive a package in the mail with the proxy materials and voting instructions. If you receive both an e-mail and a mailing, it is important that you submit votes for all shares held.

**E-mail Distribution Regarding Intranet Posting**

**Subject: Piper Jaffray Shareholders encouraged to vote FOR key business items**

Employees who are shareholders of Piper Jaffray are encouraged to vote FOR key business items to be addressed at the May 7 annual meeting of shareholders. Refer to the Trading Post story for important information on voting instructions and business rationale previously communicated by Andrew Duff.