#### ALLIANCE ONE INTERNATIONAL, INC.

Form 4 June 16, 2015

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 

Expires:

Washington, D.C. 20549

3235-0287 Number: January 31,

2005

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Costa Garcia Jose Maria Issuer Symbol ALLIANCE ONE (Check all applicable) INTERNATIONAL, INC. [AOI] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title \_ \_\_Other (specify (Month/Day/Year) below) C/O ALLIANCE ONE 06/12/2015 EVP- Global Ops & Supply Chain INTERNATIONAL, INC., 8001 AERIAL CENTER PARKWAY (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MORRISVILLE, NC 27560 Person

| (City)                               | (State)                              | Zip) Table  | e I - Non-D                            | erivative S  | ecurit           | ties Acq   | uired, Disposed o  | f, or Beneficial   | ly Owned  |
|--------------------------------------|--------------------------------------|---|--|--|------------------|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |                                      |   | Code V                                 | Amount   | (A)<br>or<br>(D) | Price      | Transaction(s) (Instr. 3 and 4)  |  |   |
| Common<br>Stock                      | 06/12/2015                           |   | M                                      | 11,666   | A                | <u>(1)</u> | 69,800   | D  |   |
| Common<br>Stock                      | 06/12/2015                           |   | F                                      | 3,990<br>(2)   | D                | \$<br>2.37 | 65,810   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: ALLIANCE ONE INTERNATIONAL, INC. - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of 2. Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative |            | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of | 6. Date Exercisable and Expiration Date (Month/Day/Year) |            | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) |                  |
|--|------------|---|---|---|--|--|------------|---|------------------|
|  | Security   |   |   |   | (D)<br>(Instr. 3, 4,<br>and 5)                                   | Date Expiration  |            | Amount  |                  |
|  |            |   |   | Code V                                  | (A) (D)  | Exercisable  | Date       | Title   | Number of Shares |
| Restricted<br>Stock<br>Units   | <u>(1)</u> | 06/12/2015                              |   | M                                       | 11,666   | 06/12/2015   | 06/12/2015 | Common<br>Stock   | 11,666           |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Costa Garcia Jose Maria C/O ALLIANCE ONE INTERNATIONAL, INC. 8001 AERIAL CENTER PARKWAY MORRISVILLE, NC 27560

EVP- Global Ops & Supply Chain

### **Signatures**

LAURA D. JONES, ATTORNEY-IN-FACT

06/16/2015

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Alliance One International, Inc. common stock.
- (2) Shares surrendered to issuer to cover the tax liability of the award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2