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HARBERT RAYMOND J

Form 3

November 03, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement Qumu Corp [QUMU] A Harbert Discovery Fund, LP (Month/Day/Year) 11/01/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2100 THIRD AVENUE NORTH, (Check all applicable) SUITE 600 (Street) 6. Individual or Joint/Group _X__ 10% Owner Director Officer Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting BIRMINGHAM, ALÂ 35203 Person _X_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â $D^{(1)}$ Common Stock, \$0.01 par value 940,170 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Title	Security	Direct (D)		

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Date Expiration Amount or or Indirect Exercisable Date Number of (I) Shares (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
Reporting Owner Name / Address		10% Owner	Officer	Other
Harbert Discovery Fund, LP 2100 THIRD AVENUE NORTH, SUITE 600 BIRMINGHAM, AL 35203	Â	ÂΧ	Â	Â
HARBERT DISCOVERY FUND GP, LLC 2100 THIRD AVENUE NORTH, SUITE 600 BIRMINGHAM, AL 35203	Â	ÂX	Â	Â
HARBERT FUND ADVISORS, INC. 2100 THIRD AVENUE NORTH, SUITE 600 BIRMINGHAM, AL 35203	Â	ÂX	Â	Â
HARBERT MANAGEMENT CORP 2100 THIRD AVENUE NORTH, SUITE 600 BIRMINGHAM, AL 35203	Â	ÂX	Â	Â
BRYANT JOHN F. 2100 THIRD AVENUE NORTH, SUITE 600 BIRMINGHAM, AL 35203	Â	ÂX	Â	Â
LUCAS KENAN 2100 THIRD AVENUE NORTH, SUITE 600 BIRMINGHAM, AL 35203	Â	ÂX	Â	Â
HARBERT RAYMOND J 2100 THIRD AVENUE NORTH, SUITE 600 BIRMINGHAM, AL 35203	Â	ÂX	Â	Â

Signatures

Harbert Discovery Fund, LP, By: Harbert Discovery Fund GP, LLC, its General Partner, By: Harbert Management Corporation, its Managing Member, By: /s/ John McCullough, Executive Vice President and General Counsel	11/03/2017
**Signature of Reporting Person	Date
Harbert Discovery Fund GP, LLC, By: Harbert Management Corporation, its Managing Member, By: /s/ John McCullough, Executive Vice President and General Counsel	11/03/2017
**Signature of Reporting Person	Date
Harbert Fund Advisors, Inc., By: /s/ John McCullough, Executive Vice President and General Counsel	11/03/2017
**Signature of Reporting Person	Date
Harbert Management Corporation, By: /s/ John McCullough, Executive Vice President and General Counsel	11/03/2017
**Signature of Reporting Person	Date

Reporting Owners 2

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/s/ John F. Bryant		11/03/2017
	**Signature of Reporting Person	Date
/s/ Kenan Lucas		11/03/2017
	**Signature of Reporting Person	Date
/s/Raymond Harbert		11/03/2017
	**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The securities are held in the account of Harbert Discovery Fund, LP (the "Fund") and may be deemed to be beneficially owned by (i) Harbert Discovery Fund GP, LLC (the "Fund GP"), the general partner of the Fund, (ii) Harbert Fund Advisors, Inc., the investment
- (1) adviser to the Fund, (iii) Harbert Management Corporation ("HMC"), the managing member of the Fund GP, (iv) Jack Bryant, a director and co-portfolio manager of the Fund GP, (v) Kenan Lucas, a director and co-portfolio manager of the Fund GP and (vi) Raymond Harbert, the controlling shareholder, Chairman and Chief Executive Officer of HMC.

Â

Remarks:

Each Reporting Person disclaims beneficial ownership in the securities reported on this Form 3Â exception Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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