#### Edgar Filing: NORTHEAST UTILITIES - Form 3

#### NORTHEAST UTILITIES

Form 3

January 08, 2008

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Clarke Peter J.

(Last)

(First) (Middle) 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

01/01/2008

NORTHEAST UTILITIES [NU]

C/O NORTHEAST UTILITIES, 107 SELDEN

STREET

(Street)

4. Relationship of Reporting

Person(s) to Issuer

\_X\_\_ Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Vice President-Shared Services

Director

10% Owner Other

6. Individual or Joint/Group (give title below) (specify below)

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

BERLIN. CTÂ 06037

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership 4. Nature of Indirect Beneficial Ownership

Form:

Direct (D)

(Instr. 5)

or Indirect (I)

(Instr. 5)

Common Shares, \$5.00 par value

10,127 (1)

Â D

Common Shares, \$5.00 par value

955 (2)

Ι 401K Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion

Ownership

6. Nature of Indirect Beneficial Ownership

Form of or Exercise (Instr. 5)

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(Instr. 4) Price of Derivative Derivative Security: Date **Expiration Title** Amount or Direct (D) Security Exercisable Number of or Indirect Shares (I) (Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Clarke Peter J. C/O NORTHEAST UTILITIES 107 SELDEN STREET BERLIN. CT 06037	Â	Â	Vice President-Shared Services	Â

## **Signatures**

Peter J. Clarke 01/08/2008

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes restricted share units and reinvested dividend equivalents.
- (2) Shares held in trust under the Northeast Utilities Service Company 401k Plan, a qualified plan, as of 01/01/2008, according to information supplied by the plan's recordkeeper.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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