STIFEL FINANCIAL CORP

Form 4

September 11, 2013

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

3235-0287 Number:

January 31, Expires: 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

09/09/2013

(Print or Type Responses)

Name and Address of Reporting Per Plotkin Ben A	Symbol	•		5. Relationship of Reporting Person(s) to Issuer			
	STIFEL	FINAN	CIAL CORP [SF]	(Chec	k all applicable)	
(Last) (First) (Mid	ddle) 3. Date of	Earliest Tr	ransaction		••		
	(Month/Da	ay/Year)		_X_ Director		Owner	
225 REGATTA DRIVE	09/09/20	09/09/2013			_X_ Officer (give title Other (specify below)		
				· · · · · · · · · · · · · · · · · · ·	ce Chairman		
(Street)	4. If Amen	ndment, Da	ate Original	6. Individual or Jo	oint/Group Filin	g(Check	
	Filed(Mont	th/Day/Year	r)	Applicable Line)			
				X Form filed by C			
JUPITER, FL 33477				Person	Iore than One Re	porting	
(City) (State) (Zi	ip) Table	e I - Non-I	Derivative Securities Acq	uired, Disposed of	, or Beneficiall	y Owned	
1.Title of 2. Transaction Date 2	2A. Deemed	3.	4. Securities Acquired	5. Amount of	6.	7. Nature of	
Security (Month/Day/Year) E	Execution Date, if	Transactio	on(A) or Disposed of (D)	Securities	Ownership	Indirect	
(Instr. 3)	any	Code	(Instr. 3, 4 and 5)	Beneficially	Form: Direct	Beneficial	
((Month/Day/Year)	(Instr. 8)		Owned	(D) or	Ownership	
				Following	Indirect (I)	(Instr. 4)	
			(A)	Reported Transaction(s)	(Instr. 4)		

Code V

S

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Transaction(s)

(Instr. 3 and 4)

D

149,310

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

or

(D)

D

Price

42.07

Amount

10,000

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8. Price of Derivative Security (Instr. 5)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock Units	\$ 0					<u>(1)</u>	(2)	Common Stock	56,638

Reporting Owners

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Plotkin Ben A 225 REGATTA DRIVE JUPITER, FL 33477	X		Vice Chairman			

Signatures

Ben A. Plotkin 09/11/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units vest in 20% increments over a five year period.
- (2) No expiration date for these Units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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