## Edgar Filing: BROWN MICHAEL W - Form 4

BROWN MIC	CHAEL W									
Form 4 March 28, 202	12									
,								OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								3235-0287		
Check this box				NGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Expires: January 31 2005 Estimated average burden hours per		
Form 5 obligations may contin <i>See</i> Instruct 1(b).	s Section 17(a	a) of the	Public Ut	ility Hold	ing Com		ge Act of 1934, of 1935 or Sectio 40	response	0.5	
(Print or Type Re	esponses)									
BROWN MICHAEL W Sy			2. Issuer Name <b>and</b> Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]				5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle)			3. Date of Earliest Transaction				(Check all applicable)			
1020 DEER HARBOR ROAD			(Month/Day/Year) 06/06/2012				X_ Director 10% Owner Officer (give title Other (specify below) below)			
			4. If Amendment, Date Original Filed(Month/Day/Year)			<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>				
EASTSOUN	D, WA						Form filed by I Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	emed on Date, if /Day/Year)	Code (Instr. 8)	4. Securities actionAcquired (A) or Disposed of (D) 8) (Instr. 3, 4 and 5) (A) or V Amount (D) Price		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							4,758	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Numl on f Deriv Securiti Acquire (A) or Dispose (D) (Instr. 3 and 5)	vative es ed ed of	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De See (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	06/06/2012		А	3,750		<u>(1)</u>	(2)	Common Stock	3,750	
Stock Option (Option to Buy)	\$ 29.33						(3)	05/19/2018	Common Stock	5,115	
Stock Option (Option to Buy)	\$ 88.42						(3)	05/23/2017	Common Stock	2,381	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
reporting o wher runner runnerss	Director	10% Owner	Officer	Other			
BROWN MICHAEL W 1020 DEER HARBOR ROAD EASTSOUND, WA	Х						
Signatures							
By: David M. Minnick For: Mic Brown		03/28/2013					

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v). \*
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Units vest quarterly over a one year period.
- (2) No expiration date for these Units.
- (3) Currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.