## Edgar Filing: Minnick David M - Form 4

Minnick David M Form 4					
March 12, 2013 <b>FORM 4</b> Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). <b>FORM 4</b> <b>STATEMENT 0</b> Statement to a Section 17(a) of the 30(h)	OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response 0.5				
(Print or Type Responses)					
1. Name and Address of Reporting Person <u>*</u> Minnick David M	2. Issuer Name <b>and</b> Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]	5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 12609 CONWAY ROAD	3. Date of Earliest Transaction (Month/Day/Year) 03/08/2013	Director X Officer (give t below)	t all applicable) title 10% Owner title Other (specify below) punsel & Senior VP		
(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CREVE COEUR, MO 63141		Form filed by Mo Person	ore than One Reporting		
(City) (State) (Zip)	Table I - Non-Derivative Securities Acc	quired, Disposed of,	or Beneficially Owned		
(Instr. 3) any	emed 3. 4. Securities on Date, if TransactionAcquired (A) or Code Disposed of (D) /Day/Year) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Price	SecuritiesFBeneficially(OwnedI	5. Ownership 7. Nature of Form: Direct Indirect D) or Beneficial ndirect (I) Ownership Instr. 4) (Instr. 4)		
Common Stock		12,517 I	)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration I (Month/Day	Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. Pric Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ O	03/08/2013		А	2,461	(1)	(2)	Common Stock	2,461	\$
Phantom Stock Units	\$ 0	03/08/2013		А	615	(3)	(2)	Common Stock	615	\$ (
Phantom Stock Units	\$ 0	03/08/2013		А	2,862	(1)	(2)	Common Stock	2,862	\$ (

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Minnick David M 12609 CONWAY ROAD CREVE COEUR, MO 63141			General Counsel & Senior VP		
Signatures					
DAVID MICHAEI					

DAVID MICHAEL 03/12/2013 MINNICK

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Units vest in 20% increments over a five year period.
- (2) No expiration date for these Units.
- (3) Units vest 100% after five years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.