## Edgar Filing: STIFEL FINANCIAL CORP - Form 4

STIFEL FINA	ANCIAL CORP										
Form 4 June 03, 2011											
								OMB A	PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Subject to Section 16. Form 4 or				CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ction 16(a) of the Securities Exchange Act of 1934,					January 31, 2005 average irs per 0.5		
obligation may conti <i>See</i> Instru- 1(b).	s Section 17(a	a) of the P	ublic Uti	ility Hold	ing Com		of 1935 or Section	n			
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> DUBINSKY JOHN P			2. Issuer Name <b>and</b> Ticker or Trading Symbol STIFEL FINANCIAL CORP [SF]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (N		3. Date of Earliest Transaction				(Check all applicable)				
625 S. SKINKER BLVD, APT. 1503			(Month/Day/Year) 06/01/2011				X Director Officer (give below)	Officer (give title Other (specify			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>				
ST LOUIS, N	MO 63105						Form filed by M Person	More than One Ro	eporting		
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecurities Ac	quired, Disposed o	f, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8) Code V	4. Securit mAcquired Disposed (Instr. 3, 4)	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock							22,126	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		of Derivative Expiration E Securities (Month/Day Acquired (A) or Disposed of (D) (Instr. 3, 4,		Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. De Se (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	\$ 0	06/01/2011		А	3,375		<u>(1)</u>	(2)	Common Stock	3,375	
Stock Option (Option to Buy)	\$ 17						(3)	01/03/2016	Common Stock	450	

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## **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
Topologie Chine Channel Channel	Director	10% Owner	Officer	Other			
DUBINSKY JOHN P 625 S. SKINKER BLVD, APT. 1503 ST LOUIS, MO 63105	Х						
Signatures							
By: David M. Minnick For: John P. Dubinsky		06/03/201	1				

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). \*\*
- (1) Units vest quarterly over a one year period.
- (2) No expiration date for these Units.
- (3) Options vest in 20% increments on 1/3/07, 1/3/08, 1/3/09, 1/3/10 and 1/3/11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.