Edgar Filing: MECHANICAL TECHNOLOGY INC - Form 4/A

MECHANICAL TECHNOLOGY INC

Form 4/A

April 23, 2003

SEC Form 4/A

FORM 4	UNITED STATES	OMB APPROVAL					
[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	W	COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP iled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940					
(Print or Type Responses)							
1. Name and Address of Reportin Person* Robb, Walter L. (Last) (First) (Middle) 3000 Troy-Schenectady Road (Street) Niskayuna, NY 12309 (City) (State) (Zip) USA	Mechanical Technology Inc 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) urities Acquired, Disposed of, or Be	4. Statement for Month/Day/Year April 30, 2003 5. If Amendment, Date of Original (Month/Day/Year) 04/03/2003	X Direct Office T. Individual or Join Line) X Form filed by O	Officer Other 7. Individual or Joint/Group Filing (Check Applicable)			
Title of Security 2. Transaction	ction Date h/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code and Voluntary Code (Instr. 8) Code V Amount	(D) Securities Beneficially	6. Owner-ship Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Reminder: Report on a separate li	ine for each class of securities	Persons who respond to the col	lection of information co	ontained			

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Form 4 (continued)

Instruction 4(b)(v).

beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see

	torm 4 (continued)											
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
Ι	1. Title of Derivative Security (Instr. 3)	Exercise	3. Transaction Date	3A. Deemed Execution Date, if any	4. Transaction Code	of Derivative	6. Date Exercisable(DE) and Expiration Date(ED)		of	Derivative	10. Owner- ship Form of	11. Nature of Indirect Beneficial Ownership

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(over)

SEC 1474 (9-02)

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	Derivative Security	(Month/ Day/ Year)	(Month/ Day/ Year)	Voluntary (V) Code (Instr.8)	Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	(Month/Day/Year) (DE) (ED)	(Instr. 3 and 4)	(Instr.5)	Following Reported Transactions (Instr.4)	Derivative Security: Direct (D) or Indirect (I) (Instr.4)	(Instr.4)
Audit Comm 4/1/03 Stock Options (Rights to Buy) (1)	\$1.91	04/01/2003		JI	(A) 5,000.00	04/01/2003 (2) 03/31/2013	Common Stock - 5,000.00	\$1.91	5,000.00	D	
BOD 4/1/03 Stock Options (Rights to Buy) (3)	\$1.91	04/01/2003		JI	(A) 20,000.00	04/01/2003 (2) 03/31/2013	Common Stock - 20,000.00	\$1.91	20,000.00	D	
Comp Comm 4/1/03 Stock Options (Rights to Buy) (3)	\$1.91	04/01/2003		JI	(A) 3,000.00	04/01/2003 (2) 03/31/2013	Common Stock - 3,000.00	\$1.91	3,000.00	D	

Explanation of Responses:

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Walter L. Robb 04-23-2003

** Signature of Reporting Person
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Form 4 (continued)

FOOTNOTE Descriptions for Mechanical Technology Incorporated MKTY

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Walter L. Robb 3000 Troy-Schenectady Road

Niskayuna, NY 12309

Explanation of responses:

- (1) This transaction was inadvertently not reported on original Form 4 filing.
- (2) Immediately Vested
- (3) No change in transaction from original Form 4 filing.

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