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BAXTER INTERNATIONAL INC

Form 3 July 02, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement BAXTER INTERNATIONAL INC [BAX] Felicelli Robert (Month/Day/Year) 06/30/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE BAXTER PARKWAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person DEERFIELD. ILÂ 60015 (give title below) (specify below) Form filed by More than One CVP, Quality Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock, \$1 par value 12,664 Common Stock, \$1 par value 852 I By 401(k) Plan In an account held jointly by Common Stock, 1 par value 394 (1) Ι spouse and son Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
2. Date Exercisable and Securities Underlying Conversion Ownership
Expiration Date (Month/Day/Year)

Securities Underlying Conversion Ownership

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			Derivative Security (Instr. 4)		or Exercise Price of	Form of Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Deferred Comp Plan Baxter Common Stock Fund	(2)	(2)	Common Stock, \$1 par value	2,512	\$ <u>(3)</u>	D	Â
Stock Option (Right to Buy)	(4)	09/01/2019	Common Stock, \$1 par value	5,000	\$ 56.06	D	Â
Stock Option (Right to Buy)	(4)	03/03/2020	Common Stock, \$1 par value	6,958	\$ 59	D	Â
Stock Option (Right to Buy)	(4)	03/04/2021	Common Stock, \$1 par value	7,258	\$ 53.8	D	Â
Stock Option (Right to Buy)	(4)	03/06/2022	Common Stock, \$1 par value	11,075	\$ 57.48	D	Â
Stock Option (Right to Buy)	(5)	03/05/2023	Common Stock, \$1 par value	11,102	\$ 70.24	D	Â
Stock Option (Right to Buy)	(6)	03/04/2024	Common Stock, \$1 par value	11,263	\$ 69.03	D	Â
Stock Option (Right to Buy)	(7)	03/03/2025	Common Stock, \$1 par value	25,178	\$ 69.42	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Felicelli Robert						
ONE BAXTER PARKWAY	Â	Â	CVP, Quality	Â		
DEERFIELD, IL 60015						

Signatures

/s/ Ellen K. McIntosh, Attorney-in-Fact for Robert 07/02/2015

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the reported shares except to the extent of his pecuniary interest therein.
- (2) Reporting person allocated compensation deferred under Baxter's Deferred Compensation Plan (the "Plan") to the Baxter Common Stock Fund notional investment alternative. Reporting person's account balance is payable subject to the terms of the Plan.
- (**3**) 1 for 1
- (4) This option is presently exercisable in full.
- (5) Two-thirds of this option is presently exercisable. The remaining one-third becomes exercisable on March 5, 2016.
- (6) One-third of this option is presently exercisable. The remaining two-thirds become exercisable on March 4, 2016 and March 4, 2017.
- (7) This option will vest in three equal annual installments beginning on March 3, 2016, the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.