#### Form 3 July 17, 2015 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB 3235-0104 Number: January 31, **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: 2005 **SECURITIES** Estimated average burden hours per Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting 2. Date of Event 3. Issuer Name and Ticker or Trading Symbol Person \* **Requiring Statement** CYTODYN INC [CYDY] ALPHA ADVISORS, LLC (Month/Day/Year) 02/06/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) P.O. BOX 2477 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line)

## LAKELAND, Â FLÂ 33806-2477

CYTODYN INC

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security 3 Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect **(I)** (Instr. 5) By Alpha Venture Capital I common stock 1,864,931 Partners, L.P. By Alpha Venture Capital Fund, Ι 230,769 common stock L.P.

Director

Officer

(give title below) (specify below)

indirect

\_X\_\_ 10% Owner

Person

Reporting Person

\_X\_ Other

Form filed by One Reporting

\_X\_ Form filed by More than One

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

#### Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

### Edgar Filing: CYTODYN INC - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
warrants to purchase common stock	10/23/2013	10/23/2018	common stock	932,465	\$ 0.75	Ι	By Alpha Venture Capital Partners, L.P.
warrants to purchase common stock	10/23/2013	10/23/2018	common stock	115,385	\$ 0.75	Ι	By Alpha Venture Capital Fund, L.P.
convertible promissory note	09/26/2014	09/26/2016	common stock	\$ 2,000,000	\$ 1	Ι	By Alpha Venture Capital Partners, L.P.
warrants to purchase common stock	09/26/2014	12/31/2019	common stock	250,000	\$ 0.5	Ι	By Alpha Venture Capital Fund, L.P.

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships				
r south and the second	Director	10% Owner	Officer	Other	
ALPHA ADVISORS, LLC P.O. BOX 2477 LAKELAND, FL 33806-2477	Â	X	Â	indirect	
Alpha Venture Capital Partners, L.P. P.O. BOX 2477 LAKELAND, FL 33806	Â	X	Â	Â	
Signatures					
/s/Carl Dockery as Manager of Alpha		07/17/2015			
**	Date				
//C. I.D. J. C. Martin (Alla), Vieter C. (1) Martin (IIC Const. D. C. (1))					

/s/Carl Dockery as Manager of Alpha Venture Capital Management, LLC, General Partner of Alpha Venture Capital Partners, L.P. 07/17/2015

\*\*Signature of Reporting Person

# **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

The securities reported on this Form 3 are owned directly by Alpha Venture Capital Partners, L.P.-

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date