Gibbens Mark Form 4 February 21, 2018

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Gibbens Mark

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Platform Specialty Products Corp

(Check all applicable)

[PAH]

02/19/2018

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

(Middle)

C/O PLATFORM SPECIALTY PRODUCTS CORP, 1450

CENTREPARK BLVD, SUITE 210 (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

VP - Corporate Treasurer

Applicable Line)

Filed(Month/Day/Year)

(Zip)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WEST PALM BEACH, FL 33401

(State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

(A)

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Gibbens Mark - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Stock Units	(1)	02/19/2018		A	26,017	<u>(1)</u>	<u>(1)</u>	Common Stock	26,017
Restricted Stock Units	<u>(2)</u>	02/19/2018		A	13,008	(2)	(2)	Common Stock	13,008

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Gibbens Mark C/O PLATFORM SPECIALTY PRODUCTS CORP 1450 CENTREPARK BLVD, SUITE 210 WEST PALM BEACH, FL 33401

VP - Corporate Treasurer

## **Signatures**

Reporting Person

/s/ Mark

Gibbens 02/21/2018
\*\*Signature of Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each performance stock unit represents a contingent right to receive one share of the Issuer's common stock and will vest on February 19, (1) 2021, subject to the achievement by the Issuer of certain adjusted EBITDA goals. The number of shares reported in column 7 will range from zero to a maximum number of 52,034 shares.
- (2) Each restricted stock unit ("RSU") represents a contingent right to receive one share of the Issuer's common stock. 1/3 of these RSUs will vest annually on February 19 over the next three years, subject to continuous service as of each such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2