#### **COLUMBUS MCKINNON CORP**

Form 4 May 19, 2015

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL OMB** 

3235-0287 Number:

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Wozniak Kurt F

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

COLUMBUS MCKINNON CORP

(Check all applicable)

VP - Americas

[CMCO]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X\_ Officer (give title below)

10% Owner \_ Other (specify

140 JOHN JAMES AUDUBON

(First)

(Street)

**PARKWAY** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Director

Applicable Line)

Filed(Month/Day/Year)

05/18/2015

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

AMHERST, NY 14228

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative S	ecurit	ties Acqui	ired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities on Disposed (Instr. 3, 4 a	d of (È	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					` '		17,392.135	D	
Common Stock	05/18/2015		A	10.7562 (1)	A	\$ 24.94	17,402.8912 (2)	D	
Common Stock							1,609.0553	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form

(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	3,335
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2011	05/18/2018	Common Stock	356
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	1,777
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	1,809
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	6,788
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	7,464

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of martinumer, reduces	Director	10% Owner	Officer	Othe		
Wozniak Kurt F 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP - Americas			

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### **Signatures**

Kurt F. Wozniak 05/19/2015

\*\*Signature of
Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.
  - Includes 11,002.8912 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 241.8329 shares become fully vested and non-forfeitable on 5/23/2015, 1,117.4694 shares become fully vested and non-forfeitable 50% per year for two years
- beginning 5/21/2015, 2,432.4355 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 2,964.1534 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, 2,316 shares become fully vested and non-forfeitable on 5/21/2015 and the remaining 1,931 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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