

COLUMBUS MCKINNON CORP

Form 4

May 28, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Wozniak Kurt F

2. Issuer Name **and** Ticker or Trading
Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

3. Date of Earliest Transaction
(Month/Day/Year)
05/23/2014

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
VP - Americas

(Street)
AMHERST, NY 14228

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	Price	17,847	D
Common Stock	05/23/2014		F	86 <u>(1)</u>	D \$ 30.29	17,761	D
Common Stock	05/23/2014		F	405 <u>(2)</u>	D \$ 30.29	17,356 <u>(3)</u>	D
Common Stock						1,553.2727 <u>(4)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013 05/20/2022	Common Stock 3,335
Non-Qualified Stock Options (Right to Buy)	\$ 28.45					05/19/2011 05/18/2018	Common Stock 356
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020	Common Stock 1,777
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012 05/22/2021	Common Stock 1,809
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014 05/20/2023	Common Stock 6,788
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015 05/19/2024	Common Stock 7,464

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Wozniak Kurt F
140 JOHN JAMES AUDUBON PARKWAY
AMHERST, NY 14228

VP -
Americas

Signatures

Kurt F. Wozniak 05/28/2014

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 239 restricted stock units on 5/23/2014.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 1,125 performance share units on 5/23/2014.
Includes 10,956 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 240 shares become fully vested and non-forfeitable on 5/23/2015, 1,109 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 2,414 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 2,946 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, 2,316 shares become fully vested and non-forfeitable on 5/21/2015 and the remaining 1,931 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33% per year for three years beginning 5/21/2014, if reporting person remains an employee of issuer.
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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