

COLUMBUS MCKINNON CORP
Form 4
May 20, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Rustowicz Gregory P

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
05/18/2015

____ Director
 Officer (give title below) _____ Other (specify below)
VP - Finance and CFO

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AMHERST, NY 14228

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock					27,421.6239	D	
Common Stock	05/18/2015		A		\$ 4,069 ⁽¹⁾	A	
Common Stock	05/19/2015		F		333.6689 ⁽²⁾	D	
Common Stock					242.6178 ⁽⁴⁾	D	
Common Stock					431,381.3822	I	Additional shares held by

ESOP;
reporting
person is 1 of
3 trustees;
DISCLAIMS
beneficial
ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 13.1					10/24/2014	10/24/2021	Common Stock	6,
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	10
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	9,
Non-Qualified Stock Options (Right to Buy)	\$ 24.94	05/18/2015		A	11,716	05/18/2016	05/17/2025	Common Stock	11

Reporting Owners

Reporting Owner Name / Address

Relationships

Director	10% Owner	Officer	Other
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Rustowicz Gregory P
140 JOHN JAMES AUDUBON PARKWAY
AMHERST, NY 14228

VP -
Finance
and CFO

Signatures

Gregory Rustowicz	05/20/2015
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__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part, become fully vested and non-forfeitable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 925.6689 restricted stock units on 5/19/2015. Includes 21,553.1902 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 4,030.5474 units become fully vested and non-forfeitable 50% per year for two years beginning 10/24/2015, 3,000.7428 units become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015; 3,647.6455 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015; 6,222 shares become fully vested and non-forfeitable on 5/21/2015, 2,479.1828 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016; 2,896 shares which become fully vested and non-forfeiture on 5/20/2016, and the remaining 4,069 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) Exercisable 33.33% per year for three years beginning 10/24/2014, if reporting person remains an employee of issuer.
- (4) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.
- (7) Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.