COLUMBUS MCKINNON CORP

Form 4 May 22, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Rustowicz Gregory P

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to

Issuer

COLUMBUS MCKINNON CORP

[CMCO]

(Check all applicable)

10% Owner

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director X_ Officer (give title

Other (specify below)

VP - Finance and CFO

140 JOHN JAMES AUDUBON

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

PARKWAY

AMHERST, NY 14228

(Street)

05/20/2014

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of

Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year) Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I)

(A) or Code V Amount (D) Price

Transaction(s) (Instr. 4) (Instr. 3 and 4)

Common 29,029 D Stock

Common 05/20/2014 F 435 (1) D 28,594 (2) D Stock

Common 186.8352 (3) D Stcok

Common 471,543.1648 I Additional Stock

shares held by ESOP: reporting

person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Der Sec	Fitle of ivative urity str. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Sto	n-Qualified ock Options ght to Buy)	\$ 13.1					10/24/2014	10/24/2021	Common Stock	6,000
Sto	n-Qualified ock Options ght to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	11,942
Sto	n-Qualified ock Options ght to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	10,181
Sto	n-Qualified ock Options ght to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	9,330

Reporting Owners

Reporting Owner Name / Address		Keiauonsnips					
	Director	10% Owner	Officer	Other			
Rustowicz Gregory P			VP -				

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140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228 Finance and CFO

Signatures

Gregory Rustowicz 05/22/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting of 1206 restricted stock units on 5/20/2014.
 - Includes 25,398 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 6,000 units become fully vested and non-forfeitable 33.33% per year for three years beginning 10/24/2014, 2,978 units become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015; 3,620 shares become fully vested and non-forfeitable 33.33% per year for three years
- (2) beginning 5/20/2015; 6,222 shares become fully vested and non-forfeitable on 5/21/2015, 3,682 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015, and 2,896 shares which become fully vested and non-forfeiture on 5/20/2016, if reporting person remains an employee of issuer. This footnote corrects the information included in footnote number 3 in the Form 4 filed on 5/21/2014.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33.33% per year for three years beginning 10/24/2014, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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