#### **COLUMBUS MCKINNON CORP**

Form 4

November 21, 2013

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 File obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and A Woon Eric	Address of Reporting P	Symbol	r Name <b>and</b> Ticker or Trading  MBUS MCKINNON CORP	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 140 JOHN	JAMES AUDUBO	iddle) 3. Date of (Month/E	f Earliest Transaction Day/Year)	Director 10% Owner X Officer (give title Other (specify below)			
AMHERST	(Street)		endment, Date Original nth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	Zip) Tabl	le I - Non-Derivative Securities Ac	equired, Disposed o	of, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		

		(Month/Day/Year)	(Instr. 8)	Amount	(A) or (D)	Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indire (Instr.
Common Stock			Code V	Amount	(D)	Trice	9,515 <u>(1)</u>	D
Common Stock	11/19/2013		M	959	A	\$ 14.8	10,474 (1)	D
Common Stock	11/19/2013		M	543	A	\$ 18.24	11,017 <u>(1)</u>	D
Common Stock	11/19/2013		S	1,502	D	\$ 26.27	9,515 (1)	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

of

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5. Number 6. Date Exercisable and

**Expiration Date** 

SEC 1474 (9-02)

7. Title and Amount

Underlying Securities

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

4.

3. Transaction Date 3A. Deemed

Conversion (Month/Day/Year) Execution Date, if Transaction of

Secu (Inst	•	or Exercise Price of Derivative Security	(Monumbay/Tear)	any (Month/Day/Year)	Code (Instr. 8)	[] S ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) (	Deriva Securi Acqui (A) or Disposof (D) (Instr.	ities red sed 3, 4,	(Month/Day/Year)		(Instr. 3 and 4)	
					Code V	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Sto	n-Qualified ck Options ght to Buy)	\$ 14.8	11/19/2013		M	9	959		01/25/2013	01/24/2020	Common Stock	959
Sto	n-Qualified ck Options ght to Buy)	\$ 18.24	11/19/2013		M		543		05/17/2011	05/16/2020	Common Stock	543
Sto	n-Qualified ck Options ght to Buy)	\$ 19.5							05/23/2012	05/22/2021	Common Stock	2,334 (4)
Sto	n-Qualified ck Options ght to Buy)	\$ 13.43							05/21/2013	05/20/2022	Common Stock	5,517 (5)
Sto	n-Qualified ck Options ght to Buy)	\$ 18.95							05/20/2014	05/20/2023	Common Stock	4,970 (6)

# **Reporting Owners**

AMHERST, NY 14228

1. Title of

Derivative

2.

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Woon Eric						
140 JOHN JAMES AUDUBON PARKWAY			Vice President - APAC			

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## **Signatures**

Eric Woon 11/21/2013

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 8,139 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 227 shares become fully vested and non-forfeitable on 5/17/2014, 618 shares become fully vested and non-forfeitable 50% per year for two years beginning

- (1) 5/23/2014, 2,064 units become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 2,356 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,874 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- Originally granted 10,000 options. The reporting person exercised 959 options on 11/19/2013. 1,666 options are excerisable, subject to (2) IRS limitations and the remaining 6,667 options become exercisable 50% per year for two years beginning 1/25/2014, if reporting person remain an employee of issuer.
- Originally granted 1,724 options. The reporting person exercised 543 options on 11/19/2013. 750 options are exercisable, subject to IRS limitations and the remaining 431 options become exercisable 5/17/2014, if reporting person remains an employee of issuer.
- (4) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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