

ALFANO JEFFREY J
Form 3
May 13, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â ALFANO JEFFREY J		(Month/Day/Year)	OPPENHEIMER HOLDINGS INC [OPY]	
(Last)	(First)	(Middle)	05/09/2011	
125 BROAD STREET			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
NEW YORK,Â NYÂ 10004			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	6. Individual or Joint/Group Filing(Check Applicable Line)
(City)	(State)	(Zip)	<input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			(give title below) (specify below)	<input type="checkbox"/> Form filed by More than One Reporting Person
			Chief Financial Officer	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Class A non-voting common stock	31,635	D	Â
Class B voting common stock	60	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Class A non voting stock	01/15/2012	01/15/2012	Class A non voting common stock	1,124	\$ 0 ⁽¹⁾	D	Â
Restricted Class A non voting common stock	02/24/2014	02/24/2014	Class A non voting common stock	10,000	\$ 0 ⁽²⁾	D	Â
Restricted Class A non voting common stock	01/27/2015	01/27/2015	Class A non voting common stock	10,000	\$ 0 ⁽³⁾	D	Â
Restricted Class A non voting common stock	02/10/2016	02/10/2016	Class A non voting common stock	10,000	\$ 0 ⁽⁴⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALFANO JEFFREY J 125 BROAD STREET NEW YORK, NY 10004	Â	Â	Â Chief Financial Officer	Â

Signatures

Jeffrey J. Alfano 05/13/2011
 __Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction is a stock award granted under the Oppenheimer & Co. Inc. Employee Share Plan on 1/30/2009 with vesting on 1/15/2012.
- (2) The transaction is a stock award granted under the Oppenheimer & Co. Inc. Employee Share Plan on 2/25/2009 with vesting on the fifth anniversary of the grant.
- (3) The transaction is a stock award granted under the Oppenheimer & Co Inc. Employee Share Plan on 1/28/2010 with vesting on the fifth anniversary of the grant.

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- (4) The transaction is a stock award granted under the Oppenheimer Holdings Inc. 2006 Equity Incentive Plan on 1/29/2011 with vesting on 2/10/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.