

COLUMBUS MCKINNON CORP

Form 4

May 28, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Korman Alan S

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
VP, Gen'l Counsel & Secretary

(Last) (First) (Middle)  
140 JOHN JAMES AUDUBON  
PARKWAY  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/23/2014

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock					13,360	D	
Common Stock	05/23/2014		F	158 <sup>(1)</sup> D	\$ 30.29 13,202	D	
Common Stock	05/23/2014		F	724 <sup>(2)</sup> D	\$ 30.29 12,478 <sup>(3)</sup>	D	
Common Stock					346.6073 <sup>(4)</sup>	D	
Common Stock					471,483.3927	I	Additional shares held by

ESOP;  
reporting  
person is 1 of  
3 trustees;  
DISCLAIMS  
beneficial  
ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 18.63					01/24/2014	01/23/2021	Common Stock	10,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	3,230
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	5,424
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/23/2023	Common Stock	4,601 (8)
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	4,386

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Korman Alan S 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP, Gen'l Counsel & Secretary	

## Signatures

Alan S. Korman                      05/28/2014

\_\_Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 428 restricted stock units on 5/23/2014.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 2,007 performance share units on 5/23/2014.  
Includes 9,283 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 428 shares become fully vested and non-forfeitable on 5/23/2015; 1,353 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 1,636 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/20/2015; 2,826 shares become fully vested and non-forfeitable on 5/21/2015, 1,731 shares become fully vested and non-forfeitable for four years beginning 5/19/2015 and the remaining 1,309 share become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) Exercisable 33.33% per year for three years beginning on January 24, 2014, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.