#### HOUSTON AMERICAN ENERGY CORP

Form 4 June 12, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB 3235-0287

**OMB APPROVAL** 

Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \*

(First)

Grimes R. Keith

2. Issuer Name and Ticker or Trading

Symbol

Issuer

**HOUSTON AMERICAN ENERGY** 

CORP [HUSA]

3. Date of Earliest Transaction

(Month/Day/Year)

06/11/2013

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

(Check all applicable)

X\_ Director 10% Owner Other (specify Officer (give title below)

801 TRAVIS STREET, SUITE 1425

(Middle)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77002

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

2. Transaction Date 2A. Deemed 1.Title of Security (Month/Day/Year) (Instr. 3)

Execution Date, if

(Month/Day/Year)

4. Securities 3. TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

(A)

or

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

#### Edgar Filing: HOUSTON AMERICAN ENERGY CORP - Form 4

| (Instr. 3)                           | Price of<br>Derivative<br>Security | (          | Month/Day/Year) | (Instr. |   | Acquired or Dispose (D) (Instr. 3, 4 and 5) | ed of |                  |                    |                 |                                    |
|--------------------------------------|------------------------------------|------------|-----------------|---------|---|---|-------|------------------|--------------------|-----------------|------------------------------------|
|                                      |                                    |            |                 | Code    | V | (A)   | (D)   | Date Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Share |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 1.18                            |            |                 |         |   |   |       | 01/02/2013(1)    | 07/02/2022         | Common<br>Stock | 25,000                             |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 0.3075                          | 06/11/2013 |                 | A       |   | 25,000                                      |       | 06/11/2013(2)    | 06/10/2023         | Common<br>Stock | 25,000                             |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| r g   | Director      | 10% Owner | Officer | Other |  |  |  |
| Grimes R. Keith<br>801 TRAVIS STREET<br>SUITE 1425<br>HOUSTON, TX 77002 | X             |           |         |       |  |  |  |

## **Signatures**

Michael Sanders, Attorney o6/12/2013 in Fact

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on July 2, 2012 and are exercisable 6 months from the date of grant, subject to vesting. The options vest 20% on the date of grant and 80% 9 months following the date of grant.
- (2) The options were granted on July 11, 2013 and are exercisable 6 months from the date of grant, subject to vesting. The option vests 20% on the date of grant and 80% 9 months following the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2