Edgar Filing: Mead Gerard - Form 4

Mead Gerard Form 4											
September 17										PPROVAL	
		SECURITIES AND EXCHANGE CO Washington, D.C. 20549				COMMISSION	OMB Number:	3235-0287			
Check this if no long subject to Section 16 Form 4 or Form 5	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934,						Expires: January 31 200 Estimated average burden hours per response 0.				
obligation may conti <i>See</i> Instru 1(b).	$\frac{1}{1}$ Section 17	7(a) of the		ility Hold	ling Con	ipany	Act of	f 1935 or Sectio	n		
(Print or Type R	esponses)										
Mead Gerard Sy Gi			Symbol	5				5. Relationship of Reporting Person(s) to Issuer			
			GLADSTONE INVESTMENT CORPORATION\DE [GAIN]					(Check all applicable)			
(3. Date of Earliest Transaction(Month/Day/Year)09/16/2008					X_Director10% Owner Officer (give titleOther (specify below) below)			
	(Street)	(Street) 4. If Amer Filed(Mont			-	l		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
MCLEAN, V	VA 22102							Person	Nore than One Ke	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Securi	ities Acc	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Instr. 3) any		on Date, if Transac Code		 4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5) 			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	09/16/2008			Р	200	A	\$ 6.14	6,239.8675	D		
Domindor: Dono	ort on a senarate li	na for anch o	less of soour	itias banafi	cially our	ad dir	actly or	indiractly			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1474 Persons who respond to the collection of information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Mead Gerard - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owno Follo Repo Trans (Instr
				Code V		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Mead Gerard 1521 WESTBRANCH DRIVE SUITE 200 MCLEAN, VA 22102	Х						
Signatures							
Paula Novara, Attorney-in-fact	09/17	7/2008					
**Signature of Reporting Person	Da	ate					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.