Edgar Filing: HORACE MANN EDUCATORS CORP /DE/ - Form 4

HORACE MANN EDUCATORS CORP /DE/

Form 4

December 19, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading HECKMAN PETER H Issuer Symbol HORACE MANN EDUCATORS (Check all applicable) CORP /DE/ [HMN] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner _X__ Officer (give title _ Other (specify (Month/Day/Year) below) 1 HORACE MANN PLAZA 12/17/2013 Former President and CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting SPRINGFIELD, IL 62715 Person

| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | |
|--------------------------------------|---|--|---|--------|------------------|---------------------|--|---|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | , , , | | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (I) (Instr. 4) | |
| Common Stock | 12/17/2013 | | S | 1,028 | A | \$ 30.1 | 117,708.011 (1) | D | |
| Common Stock | 12/18/2013 | | S | 6,701 | D | \$ 30.131 (2) | 111,007.011 (3) | D | |
| Common Stock | 12/18/2013 | | M | 7,299 | A | \$ 16.81 | 118,306.011 (4) | D | |
| Common Stock | 12/18/2013 | | S | 7,299 | D | \$ 30.329 (5) | 111,007.011 (3) | D | |

Edgar Filing: HORACE MANN EDUCATORS CORP /DE/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transact Code (Instr. 8) | Secu Acqu (A) o Disp (D) | orities uired or osed of r. 3, 4, | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--------------------------------------|--------------------------------------|-----------------------------------|--|--------------------|---|--|
| | | | | Code V | / (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Option | \$ 16.81 | 12/18/2013 | | M | | 7,299 | 03/05/2011 | 03/05/2015 | Common Stock | 7,299 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HECKMAN PETER H 1 HORACE MANN PLAZA SPRINGFIELD, IL 62715

Former President and CEO

Signatures

(right to buy)

Linea K. Michael, Attorney in Fact for Peter H. Heckman

12/19/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 10,672.320 shares of Common Stock.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.15 to \$30.25.

Reporting Owners 2

Edgar Filing: HORACE MANN EDUCATORS CORP /DE/ - Form 4

- (3) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 3,971.320 shares of Common Stock.
- (4) Represents 69,763.125 restricted stock units, 37,272.566 deferred Common Stock equivalent units and 11,270.320 shares of Common Stock
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$30.25 to \$30.39.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.