Edgar Filing: CommScope Holding Company, Inc. - Form 4

CommScope Holding Company, Inc. Form 4 August 19, 2015

August 19, 2	2015										
FORM	14 UNITED	STATES					NGE CO	MMISSION	OMB AP OMB Number:	PROVAL 3235-0287	
Check th if no lon subject t Section Form 4 c Form 5 obligation may con <i>See</i> Instr 1(b).	ger 50 16. 50 Filed pur 50 50 50 50 50 50 50 50 50 50	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(h) of the Increase and Company Act of 1940								January 31Expires:2005Estimated averageburden hours perresponse0.5	
(Print or Type	Responses)										
			2. Issuer Name and Ticker or Trading Symbol CommScope Holding Company, Inc. [COMM]				Is	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (Middle) C/O COMMSCOPE HOLDING COMPANY, INC.,, 1100 COMMSCOPE PLACE, SE			3. Date of Earliest Transaction (Month/Day/Year) 08/17/2015					Director 10% Owner XOfficer (give titleOther (specify below) below) EVP and CFO			
				ed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)						erson			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	-	ed Date, if	3. Transactic Code (Instr. 8)	4. Securit nor Dispos (Instr. 3, 4	ies Ac ed of (4 and 5 (A) or	quired (A) (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4)		
Common Stock	08/17/2015			Code V $M^{(1)}$	Amount 13,126	(D) A	Price \$ 5.74	33,869 <u>(2)</u>	D		
Common Stock	08/17/2015			M <u>(1)</u>	6,874	А	\$ 5.57	40,743 <u>(2)</u>	D		
Common Stock	08/17/2015			S <u>(1)</u>	20,000	D	\$ 31.5363 (3)	20,743 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Transaction Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 5.74	08/17/2015		M <u>(1)</u>	13,126	<u>(4)</u>	01/26/2021	Common Stock	13,126
Stock Option (Right to Buy)	\$ 5.57	08/17/2015		M <u>(1)</u>	6,874	(5)	02/21/2012	Common Stock	6,874

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
OLSON MARK A C/O COMMSCOPE HOLDING COMPANY, INC., 1100 COMMSCOPE PLACE, SE HICKORY, NC 28602			EVP and CFO				
Signatures							
/s/Michael D. Coppin, under a Power of Attorney	08/19/2015						
<pre>**Signature of Reporting Person</pre>	Date						
Explanation of Responses:							

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The transaction reported in the Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 10, 2015.

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(2) As previously reported, includes 10,837 restricted stock units that were granted on 02/24/2015 and will vest in three equal installments on 02/24/2016, 02/24/2016, 02/24/2017, and 02/24/2018, subject to the reporting person's continued employment with the issuer.

The price shown is the weighted average price of the shares sold in this transaction. The price range for this transaction is \$31.31 to
 (3) \$31.78. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

- (4) Per SEC guidance, excludes 13,144 unvested options that are subject to performance-based vesting conditions.
- (5) Per SEC guidance, excludes 12,622 unvested options that are subject to performance-based vesting conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.