Edgar Filing: TURNER DAVID T - Form 4

Form 4	AVID T										
December 13									OMB AF	PROVAL	
FORM	UNITEDS	STATES		ITIES A hington,	COMMISSION	OMB Number:	3235-0287				
Check thi if no long subject to Section 1 Form 4 or	^{box} STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Expires: Estimated a burden hou response	•	
Form 5 obligatior may conti <i>See</i> Instru 1(b).	inue. Section 17(a	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> TURNER DAVID T			2. Issuer Name and Ticker or Trading Symbol HAWTHORN BANCSHARES, INC. [HWBK]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 742 TURNE	liddle)	3. Date of Earliest Transaction (Month/Day/Year) 12/11/2012					X Director 10% Owner X Officer (give title Other (specify below) below) Chairman, CEO & President				
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(CheckApplicable Line)_X_ Form filed by One Reporting Person			
JEFFERSO	N CITY, MO 651	09						Form filed by M Person	Iore than One Re	porting	
(City)	(State) (Zip)	Table	e I - Non-D	erivative	Secur	ities Aco	quired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deen Execution any (Month/E	n Date, if	3. Transactic Code (Instr. 8) Code V		spose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	12/11/2012	12/11/2	012	Р	300	A	\$ 7.88	13,781.3206 (1)	D		
Common Stock								26,025.506 <u>(2)</u>	I	Profit Sharing	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
TURNER DAVID T 742 TURNBERRY DRIVE JEFFERSON CITY, MO 65109	Х		Chairman, CEO & President				
Signatures							

Signatures

/s/ David T. 12/11/2012 Turner

**Signature of Reporting Person Date

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired since last report pursuant to the Company DRIP program.

(2) Includes shares acquired since last report pursuant to Company profit sharing trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.