Edgar Filing: TURNER DAVID T - Form 5

TURNER I Form 5 February 13									
FORM							OMB A	PPROVAL	
Check th no longe to Sectio Form 4 c 5 obligat	UNIT is box if r subject n 16. or Form A ions	UNITED STATES SECURITIES AND EXCHANGE COMMISSION if Washington, D.C. 20549 ANDULAL STATEMENT OF CHANCES IN DEMERICIAL						3235-0362 January 31, 2005 average irs per 1.0	
may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Transactions Reported								1.0	
1. Name and Address of Reporting Person <u>*</u> TURNER DAVID T			2. Issuer Name and Ticker or Trading Symbol HAWTHORN BANCSHARES, INC. [HWBK]			5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 742 TURN	(First)	(Middle) VE		Day/Year)	s Fiscal Year Ended	_X_ Director _X_ Officer (give below) Chairman		o Owner er (specify ident	
	(Street)			endment, Date onth/Day/Year)	Original	6. Individual or Joi (check	nt/Group Rep	-	
JEFFERSC	DN CITY, M	IOÂ 65109				_X_ Form Filed by C Form Filed by M Person			
(City)	(State)	(Zip)	Tab	ole I - Non-De	rivative Securities Ac	quired, Disposed of,	or Beneficial	lly Owned	
1.Title of Security		Date 2A. Dee (ear) Execution		3. Transaction	4. Securities Acquired (A) or	5. Amount of Securities	6. Ownership	7. Nature of Indirect	

1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction	Acquired	(A) o	r	Securities	Ownership	Indirect
(Instr. 3)		any	Code	Disposed	of (D)	Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned at end of	(D) or	Ownership
				Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	12,095.8358 (1)	D	Â
STOCK							<u> </u>		
Common Stock	Â	Â	Â	Â	Â	Â	24,411.9988 (2)	Ι	Profit Sharing Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 17.26	Â	Â	Â	Â	Â	02/14/2003	02/14/2012	Common Stock	8,390
Employee Stock Option (right to buy)	\$ 24.57	Â	Â	Â	Â	Â	03/03/2004	03/03/2013	Common Stock	7,138
Employee Stock Option (right to buy)	\$ 32.59	Â	Â	Â	Â	Â	02/19/2005	02/19/2014	Common Stock	5,903
Employee Stock Option (right to buy)	\$ 26.3	Â	Â	Â	Â	Â	04/21/2006	04/21/2015	Common Stock	7,459
Employee Stock Option (right to buy)	\$ 27.69	Â	Â	Â	Â	Â	03/03/2007	03/03/2016	Common Stock	7,298
Employee Stock Option (right to buy)	\$ 30.97	Â	Â	Â	Â	Â	04/27/2008	04/27/2017	Common Stock	7,748
	\$ 19.42	Â	Â	Â	Â	Â	09/22/2009	09/22/2018		6,950

Employee Stock Option (right to buy)

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
TURNER DAVID T 742 TURNBERRY DRIVE JEFFERSON CITY, MO 65109	ÂX	Â	Chairman, CEO & President	Â				
Signatures								

/s/ David T. Turner 02/13/2012

<u>**</u>Signature ofDateReporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired since last report pursuant to the Company's DRIP program and July 1, 2011 4% stock dividend.

(2) Includes shares acquired since last report pursuant to the Company's profit sharing trust and July 1, 2011 4% stock dividend.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.