

BRUEGENHEMKE KATHLEEN L  
 Form 5  
 February 13, 2012

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
 Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
 BRUEGENHEMKE KATHLEEN L

2. Issuer Name and Ticker or Trading Symbol  
 HAWTHORN BANCSHARES, INC. [HWBK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
 12/31/2011

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Senior Vice President

5859 ROCKY POINT COURT

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

COLUMBIA, MO 65202

(City) (State) (Zip)

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Amount (A) or Price (D)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	2,690 <sup>(1)</sup>	I	Retirement Account
Common Stock	Â	Â	Â	Â	Â	22,839.2005 <sup>(2)</sup>	I	Profit Sharing Trust
Common Stock	Â	Â	Â	Â	Â	3,427.223 <sup>(3)</sup>	D	Â

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 17.26	Â	Â	Â	Â	Â	02/14/2003	02/14/2012	Common Stock	2,203
Employee Stock Option (right to buy)	\$ 24.57	Â	Â	Â	Â	Â	03/03/2004	03/03/2013	Common Stock	1,579
Employee Stock Option (right to buy)	\$ 32.59	Â	Â	Â	Â	Â	02/19/2005	02/19/2014	Common Stock	1,220
Employee Stock Option (right to buy)	\$ 26.3	Â	Â	Â	Â	Â	04/21/2006	04/21/2015	Common Stock	1,583
Employee Stock Option (right to buy)	\$ 27.69	Â	Â	Â	Â	Â	03/03/2007	03/03/2016	Common Stock	1,553
Employee Stock Option	\$ 30.97	Â	Â	Â	Â	Â	04/27/2008	04/27/2017	Common Stock	1,614

(right to buy)

Employee Stock

Option	\$ 19.42	Â	Â	Â	Â	Â	09/22/2009	09/22/2018	Common Stock	1,338
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(right to buy)

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRUEGENHEMKE KATHLEEN L 5859 ROCKY POINT COURT COLUMBIA, MO 65202	Â	Â	Â Senior Vice President	Â

## Signatures

/s/ Kathleen L. Bruegenhemke 02/13/2012

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired since last report from the Company's July 1, 2011 4% stock dividend.
- (2) Includes shares acquired since last report pursuant to the Company's profit sharing trust and July 1, 2011 4% stock dividend.
- (3) Includes shares acquired since last report pursuant to the Company's DRIP program and July 1, 2011 4% stock dividend.

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