#### Edgar Filing: BRUEGENHEMKE KATHLEEN L - Form 5

BRUEGENHEMKE KATHLEEN L Form 5 February 14, 2008 FORM 4

1. Name and Addre BRUEGENHE

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	-	UNITED STATES SECURITIES AND EXCHANGE COMMISSION					-0362		
Check this b no longer su	bject		Expires:	Janua	ry 31, 2005				
to Section 1 Form 4 or F 5 obligation may continu	orm <sup>1</sup> s ie.	ANNUAL ST	Estimated average burden hours per response		1.0				
See Instruction       1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,         Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section       Reported         Form 4       30(h) of the Investment Company Act of 1940         Transactions       Reported         Reported       Vertice									
	-	orting Person <u>*</u> ATHLEEN L	2. Issuer Name <b>and</b> Ticker or Trading Symbol HAWTHORN BANCSHARES, INC. [HWBK]	5. Relationship of I Issuer (Check	Reporting Pers	. ,			
(Last)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended	Director	10%	Owner			

5859 ROCKY POINT COURT

(Street)

COLUMBIA, MOÂ 65202

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person

6. Individual or Joint/Group Reporting

Other (specify

below)

Senior Vice President

(check applicable line)

\_\_X\_\_Officer (give title

below)

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 6. Ownership 7. Nature of (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Form: Direct Indirect Security Securities (Instr. 3) any Code Disposed of (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price Common Â Â Â Â Â Â Â 332.03 (1) D Stock Common Â Â Â Â Â Â Â 1,846 D Stock Profit Common 11,939.74 Â Â Â Â Â Â I Sharing (2) Stock

(Month/Day/Year)

Filed(Month/Day/Year)

4. If Amendment, Date Original

12/31/2007

Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Shares
Employee Stock Option (right to buy)	\$ 16.33	Â	Â	Â	Â	Â	12/04/2001 <u>(3)</u>	12/04/2010	Common Stock	2,25
Employee Stock Option (right to buy)	\$ 18.67	Â	Â	Â	Â	Â	02/14/2003(4)	02/14/2012	Common Stock	2,03
Employee Stock Option (right to buy)	\$ 26.57	Â	Â	Â	Â	Â	03/03/2004 <u>(5)</u>	03/03/2013	Common Stock	1,46
Employee Stock Option (right to buy)	\$ 35.25	Â	Â	Â	Â	Â	02/19/2005 <u>(6)</u>	02/19/2014	Commong Stock	1,12
Employee Stock Option (right to buy)	\$ 28.45	Â	Â	Â	Â	Â	04/21/2006(7)	04/21/2015	Common Stock	1,46
Employee Stock Option	\$ 29.95	Â	Â	Â	Â	Â	03/03/2007 <u>(8)</u>	03/03/2016	Common Stock	1,43

(right to buy)									
Employee Stock Option (right to buy)	\$ 33.5	Â	Â	Â	ÂÂ	04/27/2008 <u>(9)</u>	04/27/2017	Common Stock	0

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>			Relationships			
	Director	10% Owner	Officer	Other		
BRUEGENHEMKE KATHLEEN L 5859 ROCKY POINT COURT COLUMBIA, MO 65202	Â	Â	Senior Vice President	Â		
Signatures						
/s/ Kathleen L.	1 4 12 0 0 0					

Bruegenhemke 02/14/2008

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired since last report pursuant to Company DRIP program.
- (2) Includes shares acquired since last report pursuant to Company profit sharing trust.
- (3) 25% per year beginning 2001-12-04.
- (4) 25% per year beginning 2003-02-14.
- (5) 25% per year beginning 2004-03-03.
- (6) 25% per year beginning 2005-02-19.
- (7) 25% per year beginning 2006-04-21.
- (8) 25% per year beginning 2007-03-03
- (9) 25% per year beginning 2008-04-27.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.