Edgar Filing: MARKETAXESS HOLDINGS INC - Form 4

| MARKETA Form 4 February 05, FORN Check th if no long subject to Section 1 Form 4 o Form 5 obligatio may cont <i>See</i> Instru 1(b). | 14 UNITE | D STATES EMENT O pursuant to 7(a) of the | Was F CHAN Section 1 Public U | shington, IGES IN SECUR 6(a) of th | D.C. 205 BENEFI ITIES e Securiti ding Com | 549 CIA les Ez ipany | L OWN | COMMISSION NERSHIP OF e Act of 1934, 1935 or Section 0 | OMB Number: Expires: Estimated a burden hour response | • | |
|--|------------------------------------|---|--|---|---|-------------------------------|------------------|---|---|--------------------------|--|
| (Print or Type I | Responses) | | | | | | | | | | |
| McVey Richard M Symbol | | | | er Name and Ticker or Trading ETAXESS HOLDINGS INC X] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
| | | | | of Earliest Transaction Day/Year) 2007 | | | | _X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify below) below) Chairman and CEO | | | |
| (Street) 4. If Ame | | | | endment, Date Original nth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| (City) | (State) | (Zip) | | | | | | Person | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | ate 2A. Deen r) Executio any | | 3. | 4. Securiti on(A) or Dis (Instr. 3, 4 | ies Ac | quired of (D) | uired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect | |
| Common Stock, par value \$0.003 per share | 02/01/2007 | | | F | 30,525 (1) | D | \$ 12.68 | 1,114,191 | D | | |
| Common Stock, par value \$0.003 per share | | | | | | | | 525,154 | I | By Trusts (2) | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Title | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------------|--------------------|----------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onNumber | Expiration D | ate | Amour | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underl | ying | Security | Secu |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securit | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | 5 | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | | | | or | | |
| | | | | | | Date Exercisable | Expiration Date | | Number | | |
| | | | | | | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|------------------|-------|--|--|--|
| FB | Director | 10% Owner | Officer | Other | | | |
| McVey Richard M C/O MARKETAXESS HOLDINGS INC. 140 BROADWAY, 42ND FLOOR NEW YORK, NY 10005 | Х | Х | Chairman and CEO | | | | |
| Signatures | | | | | | | |
| /s/ Ori Solomon, as Attorney-in-Fact for Ri McVey | 02/05/2007 | | | | | | |
| ** Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the surrender of shares to the Company to satisfy Mr. McVey's tax withholding obligation upon the vesting of shares of restricted stock previously granted to Mr. McVey.
- (2) Represents shares held by trusts for the benefit of Mr. McVey and his family members.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.