Edgar Filing: MARKETAXESS HOLDINGS INC - Form 3/A

MARKETAXESS HOLDINGS INC Form 3/A November 19, 2004 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Casper Stephen P			Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol MARKETAXESS HOLDINGS INC [MKTX]					
(Last) C/O MARK HOLDINGS BROADWA	INC., 14	40	11/04/2004	Person(s) to Is (Check XDirector Officer			 5. If Amendment, Date Original Filed(Month/Day/Year) 11/04/2004 6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person 		
NEW YORF	K, NY I	(Zip)	Table I - N						
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)	f Securities	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		ture of Indirect Beneficial orship		
owned directly	or indirectly. Perso inforn requir currer	ns who res nation conta red to respo ntly valid Ol	ach class of securities benefic pond to the collection of ained in this form are not ond unless the form displ MB control number.	ays a	EC 1473 (7-02		on soutible comuitice)		
1	able II - Dei	rivative Secu	rities Beneficially Owned (e	.g., puts, calls,	warrants, opt	tions, c	onvertible securities)		

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D)	

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				Shares		or Indirect (I) (Instr. 5)				
Stock Option (right to buy)	(1)	11/04/2014	Common Stock, par value \$0.003	10,000	\$ 11	D	Â			
Reporting Owners										
Reporting Owner Name / Address			Relationships							
		Director	10% Owner	Officer	Other					
Casper Stephen P C/O MARKETAXESS HOLDINGS INC. 140 BROADWAY, 42ND FLOOR NEW YORK, NY 10005			Â	Â	Â					
Cignoturoo										

Signatures

/s/ Ori Solomon as attorney-in-fact for Stephen P. Casper	11/19/2004	
**Signature of Reporting Person	Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option was granted on November 4, 2004. The option vests and becomes exercisable in two equal installments on the six month and one year anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.