SERVOTRONICS INC /DE/

Form 4 January 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

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response...

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subject to Section 16. Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

Check this box

if no longer

Form 5

obligations

30(h) of the Investment Company Act of 1940 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Jaroslawsky Cari L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

SERVOTRONICS INC /DE/ [SVT]

(Check all applicable)

1110 MAPLE STREET, PO BOX

(State)

(First)

300

(Last)

3. Date of Earliest Transaction

(Month/Day/Year) 01/01/2014

Director 10% Owner X_ Officer (give title Other (specify

below)

below) Chief Financial Officer

(Street) 4. If Amendment, Date Original

(Middle)

(Zip)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

ELMA, NY 14059

(City)

(Instr. 3)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Indirect (I) Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

(A) or

Reported Transaction(s)

 $17,107 \stackrel{(2)}{=}$

1,185.2805

(Instr. 3 and 4) Code V Amount (D) Price

Common 01/01/2014 Stock

\$ F 8.1 1.893 D (1)

D

Ι

Common Stock

By ESOT

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(Month/Day/Year)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Derivat Security (Instr. 5
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options (Right to Buy)	\$ 4.7					(3)	12/29/2015	Common Stock	1,000	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Jaroslawsky Cari L 1110 MAPLE STREET

1110 MAPLE STREET, PO BOX 300 Chief Financial Officer

ELMA, NY 14059

Signatures

Cari L.

Jaroslawsky 01/06/2014

**Signature of Person Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On January 1, 2014, 4,500 restricted shares vested and the Reporting Person instructed the Company to withhold 1,893 shares to cover tax withholding obligations as permitted under the Company's 2012 Long-Term Incentive Plan.
- (2) As of the date hereof, the Reporting Person's direct beneficial ownership includes 13,500 restricted shares granted under the Company's 2012 Long-Term Incentive Plan that have not yet vested.
- (3) All of the options are currently exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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