Edgar Filing: Manitex International, Inc. - Form NT 10-K

Manitex International, Inc. Form NT 10-K March 16, 2018

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001-32401
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U.S. SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 12b-25

NOTIFICATION OF LATE FILING

Form 10-K Form 20-F Form 11-K Form 10-Q

Form 10-D Form N-SAR Form N-CSR

For period ended: December 31, 2017

Transition Report on Form 10-K

Transition Report on Form 20-F

Transition Report on Form 11-K

Transition Report on Form 10-Q

Transition Report on Form N-SAR

For the Transition Period Ended:

Nothing in this form shall be construed to imply that the Commission has verified any information contained herein.

If the notification relates to a portion of the filing checked above, identify the Item(s) to which the notification relates:

PART I REGISTRANT INFORMATION

Manitex International, Inc.

Full Name of Registrant

Former Name if Applicable

9725 Industrial Drive

Address of Principal Executive Office (Street and Number)

Bridgeview, Illinois 60455

City, State and Zip Code

PART II RULES 12b-25(b) AND (c)

If the subject report could not be filed without unreasonable effort or expense and the registrant seeks relief pursuant to Rule 12b-25(b), the following should be completed.

- (a) The reasons described in reasonable detail in Part III of this form could not be eliminated without unreasonable effort or expense;
- (b) The subject annual report, semi-annual report, transition report on Form 10-K, Form 20-F, 11-K or Form N-SAR or Form N-CSR, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report or transition report on Form 10-Q, or subject distribution report on Form 10-D, or portion thereof, will be filed on or before the fifth calendar day following the prescribed due date; and
- (c) The accountant s statement or other exhibit required by Rule 12b-25(c) has been attached, if applicable.

PART III NARRATIVE

Manitex International, Inc. (the Company) has determined that it is unable to file its Annual Report on Form 10-K for the year ended December 31, 2017 (the Form 10-K) by March 16, 2018, the due date for such filing. As previously announced in the Company s Current Report on Form 8-K filed on November 6, 2017, on November 2, 2017, the Audit Committee of the Board of Directors of the Company, in consultation with the Company s management and UHY LLP, the Company s independent registered public accounting firm, determined that the Company s previously issued financial statements for the quarters ended March 31, June 30 and September 30, 2016, the year ended December 31, 2016 and the quarters ended March 31 and June 30, 2017 included in the Company s Annual Reports on Form 10-K and Quarterly Reports on Form 10-Q for such periods and together with all three, six and nine-month financial information contained therein (collectively, the Non-Reliance Periods) can no longer be relied upon. The Company intends to file the restated annual and quarterly financial statements for the Non-Reliance Periods (the Restated Filings), as well as its Quarterly Report on Form 10-Q for the quarterly period ended September 30, 2017 (the Form 10-Q), as soon as practicable. However, the Company is still in the process of preparing the Restated Filings and the Form 10-Q and, as a result, the Company cannot file the Form 10-K by the due date.

PART IV OTHER INFORMATION

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(1) Name and telephone number of person to contact in regard to this notification:

David J. Langevin (708) 430-7500 (Name) (Area Code) (Telephone Number)

- (2) Have all other periodic reports required under Section 13 or 15(d) of the Securities Exchange Act of 1934 or Section 30 of the Investment Company Act of 1940 during the preceding 12 months or for such shorter period that the registrant was required to file such report(s) been filed? If answer is no, identify report(s). Yes No Quarterly Report on Form 10-Q for the quarterly period ended September 30, 2017
- (3) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof? Yes No

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

Forward-Looking Statements

This Form 12b-25 contains forward-looking statements within the meaning of federal and state securities laws, including, without limitation, the Company s expectations as to the timing and outcome of its restatement of certain of its financial statements and the filing of the related quarterly and annual reports (the Reports).

These forward-looking statements involve risks and uncertainties, and actual results could vary materially from these forward-looking statements. Factors that may cause future results to differ materially from management s current expectations include, among other things, the discovery of additional information relevant to the internal review; the conclusions of the Audit Committee (and the timing of the conclusions) concerning matters relating to the internal review; the timing of the review by, and the conclusions of, the Company s independent registered public accounting firm regarding the internal review and the Company s financial statements; the possibility that additional errors may be identified; and the risk that the completion and filing of the Reports will take longer than expected. The Company disclaims any obligation to update information contained in these forward-looking statements whether as a result of new information, future events, or otherwise.

Manitex International, Inc.

(Name of Registrant as Specified in Charter)

has caused this notification to be signed on its behalf by the undersigned hereunto duly authorized.

Date: March 16, 2018 By: /s/ David J. Langevin

David J. Langevin Chief Executive Officer