Shapiro Lucy Form 3 September 12, 2012

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement PACIFIC BIOSCIENCES OF CALIFORNIA INC Shapiro Lucy (Month/Day/Year) [PACB] 09/12/2012 (Last) (Middle) 4. Relationship of Reporting (First) 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) PACIFIC BIOSCIENCES OF (Check all applicable) CALIFORNIA, INC., Â 1380 WILLOW ROAD \_X\_\_ Director 10% Owner Officer (Street) Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person MENLO PARK, CAÂ 94025 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities 3. (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security 3. Title and Amount of 5. 6. Nature of Indirect 2. Date Exercisable and Securities Underlying Beneficial Ownership (Instr. 4) **Expiration Date** Conversion Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

#### Edgar Filing: Shapiro Lucy - Form 3

Date Expiration Amount or or Indirect
Exercisable Date Number of (I)
Shares (Instr. 5)

#### **Reporting Owners**

Relationships

Reporting Owner Name / Address

Director 10% Owner Officer Other

Shapiro Lucy

PACIFIC BIOSCIENCES OF CALIFORNIA, INC. 1380 WILLOW ROAD

 $\hat{A}$  X  $\hat{A}$   $\hat{A}$   $\hat{A}$ 

MENLO PARK, CAÂ 94025

## **Signatures**

Brian Dow, Attorney-in-fact for Lucy Shapiro

09/12/2012

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2