INFINERA CORP

Form 4 June 13, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

OMB APPROVAL

subject to
Section 16.
Form 4 or

burden hours per response... 0.5

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 ponse... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Singh Jagdeep			2. Issuer Name and Ticker or Trading Symbol INFINERA CORP [INFN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	**			
C/O INFINERA CORPORATION, 169 JAVA DRIVE		NA	(Month/Day/Year) 06/12/2007	_X_ Director 10% Owner _X_ Officer (give title Other (specify below) Chairman, President & CEO			
(Street) SUNNYVALE, CA 94089			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
			Filed(Month/Day/Year)				

(City)	(State) (Table Table	le I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				
Stock	06/12/2007		С	21,520	A	<u>(1)</u>	471,519	D			
Common Stock	06/12/2007		C	186,012	A	<u>(2)</u>	767,262	I	See footnote (3)		
Common Stock	06/12/2007		C	989,316	A	<u>(4)</u>	1,756,578	I	See footnote (3)		
Common Stock	06/12/2007		C	46,296	A	<u>(5)</u>	1,802,874	I	See footnote		

Edgar Filing: INFINERA CORP - Form 4

			(3)
Common Stock	125,000	I	See footnote (6)
Common Stock	125,000	I	See footnote (7)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Convertible Preferred Stock	(1)	06/12/2007		С		20,833	<u>(1)</u>	<u>(1)</u>	Common Stock	21,520
Series D Convertible Preferred Stock	<u>(2)</u>	06/12/2007		С		186,012	(2)	(2)	Common Stock	186,012
Series E Convertible Preferred Stock	<u>(4)</u>	06/12/2007		С		989,316	<u>(4)</u>	<u>(4)</u>	Common Stock	989,316
Series G Convertible Preferred Stock	<u>(5)</u>	06/12/2007		C		46,296	<u>(5)</u>	<u>(5)</u>	Common Stock	46,296

Reporting Owners

Reporting Owner Name / Address Relationships

Reporting Owners 2

Edgar Filing: INFINERA CORP - Form 4

Director 10% Owner Officer Other

Singh Jagdeep C/O INFINERA CORPORATION 169 JAVA DRIVE SUNNYVALE, CA 94089

X

Chairman, President & CEO

Signatures

/s/ Michael O. McCarthy, by power of attorney

06/12/2007

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series A Convertible Preferred Stock automatically converted into Common Stock on a 1:1.033 basis immediately prior to the closing of the Issuer's initial public offering of common stock.
- (2) The Series D Convertible Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the closing of the Issuer's initial public offering of common stock.
- (3) Shares held directly by the Singh Family Trust U/D/T dated 10/3/96 for which the Reporting Person serves as a trustee.
- (4) The Series E Convertible Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the closing of the Issuer's initial public offering of common stock.
- (5) The Series G Convertible Preferred Stock automatically converted into Common Stock on a 1:1 basis immediately prior to the closing of the Issuer's initial public offering of common stock.
- (6) Shares held directly by the Roshni Singh Annuity Trust dated 6/21/05 for which the Reporting Person serves as trustee.
- (7) Shares held directly by the Jagdeep Singh Annuity Trust dated 6/21/06 for which the Reporting Person serves as trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3