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HOUSTON AMERICAN ENERGY CORP

Form 4 June 13, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average

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Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

TAWES ORRIE LEE

HOUSTON AMERICAN ENERGY CORP [HUSA]

(Check all applicable)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

X_ Director Officer (give title

10% Owner Other (specify

NORTHEAST SECURITIES. INC., 100 WALL STREET, 8TH

FLOOR

(Street) 4. If Amendment, Date Original

Applicable Line)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

06/11/2013

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10005

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Indirect Form: Direct (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A)

Transaction(s)

Reported

(Instr. 3 and 4) Code V Amount (D) Price

D

Common Stock

2,832,831

119,034

Ι By spouse

Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Option (Right to Buy)	\$ 2						02/12/2006	08/12/2015	Common Stock	20,00
Stock Option (Right to Buy)	\$ 5.45						11/21/2007	05/21/2017	Common Stock	10,00
Stock Option (Right to Buy)	\$ 7.2						12/02/2008	06/02/2018	Common Stock	3,331
Stock Option (Right to Buy)	\$ 2.05						12/09/2009	06/09/2019	Common Stock	3,331
Stock Option (Right to Buy)	\$ 14.08						12/15/2010	06/15/2020	Common Stock	25,00
Stock Option (Right to Buy)	\$ 16.07						12/13/2011	06/13/2021	Common Stock	25,00
Stock Option (Right to Buy)	\$ 1.65						12/11/2012	06/11/2022	Common Stock	100,00
Stock Option (Right to Buy)	\$ 0.3075	06/11/2013		A	25,000)	06/11/2013(1)	06/10/2023	Common Stock	25,00

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TAWES ORRIE LEE NORTHEAST SECURITIES, INC. 100 WALL STREET, 8TH FLOOR NEW YORK, NY 10005

X

Signatures

Michael Sanders, Attorney in Fact

06/13/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on June 11, 2013 and are exercisable 6 months from the date of grant, subject to vesting. The option vests 20% on the date of grant and 80% 9 months from the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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