

GARDNER STEVEN R  
Form 4  
March 08, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GARDNER STEVEN R

2. Issuer Name and Ticker or Trading Symbol  
PACIFIC PREMIER BANCORP INC [PPBI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
17901 VON KARMAN AVE.,  
SUITE 1200  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/06/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman, President & CEO

IRVINE, CA 92614

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
PPBI Common Stock	03/06/2018		M	5,000	A \$ 6.3	257,569 <sup>(1)</sup>	D
PPBI Common Stock	03/06/2018		M	34,562	A \$ 7.87	292,131 <sup>(1)</sup>	D
PPBI Common Stock	03/06/2018		M	6,596	A \$ 15.16	298,727 <sup>(1)</sup>	D
PPBI	03/06/2018		F	712 <sup>(2)</sup>	D \$	298,015 <sup>(1)</sup>	D

Edgar Filing: GARDNER STEVEN R - Form 4

Common Stock 44.23

PPBI Common Stock 03/06/2018 F 8,359<sup>(2)</sup> D \$ 44.5 289,656 <sup>(1)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Options on PPBI Common Stock	\$ 6.3	03/06/2018		M	5,000	<u>(3)</u>	01/05/2021	PPBI Common Stock	5,000
Options on PPBI Common Stock	\$ 7.87	03/06/2018		M	34,562	<u>(4)</u>	06/05/2022	PPBI Common Stock	34,562
Options on PPBI Common Stock	\$ 15.16	03/06/2018		M	6,596	<u>(5)</u>	01/28/2025	PPBI Common Stock	6,596
Options on PPBI Common Stock	\$ 10.44					<u>(6)</u>	01/02/2023	PPBI Common Stock	50,000
Options on PPBI Common Stock	\$ 15.68					<u>(7)</u>	01/02/2024	PPBI Common Stock	50,000
	<u>(8)</u>					<u>(8)</u>	<u>(9)</u>		4,875



## Edgar Filing: GARDNER STEVEN R - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.