

Edgar Filing: NUWAY ENERGY INC - Form 4

NUWAY ENERGY INC
Form 4
October 12, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

FORM 4

OMB APPROVAL

CHECK THIS BOX IF NO LONGER
SUBJECT TO SECTION 16.
FORM 4 OR FORM 5 OBLIGATIONS
MAY CONTINUE. SEE INSTRUCTION
1(b).

OMB NUMBER 3235-0287
EXPIRES: DECEMBER 31, 2001
ESTIMATED AVERAGE BURDEN
HOURS PER RESPONSE.....0.5

FILED PURSUANT TO SECTION 16(a) OF THE SECURITIES EXCHANGE ACT OF 1934,
SECTION 17(a) OF THE PUBLIC UTILITY HOLDING COMPANY ACT OF 1935 OR SECTION 30(f)
OF THE INVESTMENT COMPANY ACT OF 1940

1. Name and Address of Reporting Person*

Geraldine Lyons
6826 NW 77th Court
Miami, Florida 33166

2. Issuer Name and Ticker or Trading Symbol

NuWay Energy, Inc. (NWAY)

3. IRS or Social Security Number or Reporting Person (Voluntary)

4. Statement for Month/Year

February, 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer (Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Financial Officer and Secretary

7. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

TABLE I -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF,
OR BENEFICIALLY OWNED

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| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 3. Transaction Code (Instr.8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4) | 6. Owner-Ship Form: Direct (D) or Indirect (I) (Instr. 4) |
|---------------------------------|--------------------------------------|-------------------------------|---|---|---|
| | | Code | V Amount (A) or (D) Price | | |
| Common Stock | 2/15/01 | S | 25,000 (D) \$3.909 | 226,224 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. (Print or Type Responses) (Over)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5) | 6. Date of Exercise and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 & 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4) |
|--|--|--------------------------------------|--------------------------------|--|--|---|--|--|
| | | | Code | V (A) (D) | Expir- ation Date | Exer- tion Title | Amt. or Num. of Shares | |

Explanation of Responses:

Number of shares of common stock beneficially owned at end of fiscal year includes 41,224 shares held in a trust in which the Reporting Person is the trustee.

Geraldine Lyons

October 11, 2001

** Geraldine Lyons

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see instruction 4(b)(v).

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** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S. C 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.