## Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

| COLUMBUS<br>Form 4<br>August 24, 2  | S MCKINNON CORP<br>016                   |  |   |  |  |  |  |
|---|--|--|---|--|--|--|--|
| FORM 4       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB       3235-028         Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       States and a securities |  |  |   |  |  |  |  |
| (Print or Type F<br>1. Name and A<br>AuYeung B  | ddress of Reporting Person *             | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>COLUMBUS MCKINNON CORP<br>[CMCO]  | 5. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)   |  |  |  |  |
| (Last) 205 CROSS  | (First) (Middle)                         | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>08/22/2016  | Director10% Owner<br>XOfficer (give titleOther (specify<br>below) below)<br>VP-APAC   |  |  |  |  |
| GETZVILL<br>(City)  | (Street)<br>E, NY 14068<br>(State) (Zip) | <ul> <li>4. If Amendment, Date Original</li> <li>Filed(Month/Day/Year)</li> <li>Table I - Non-Derivative Securities Acc</li> </ul>   | <ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting Person</li> </ul> uired, Disposed of, or Beneficially Owned |  |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | any                                      | emed 3. 4. Securities Acquired<br>on Date, if Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>/Day/Year) (Instr. 8)<br>(A)<br>or<br>Code V Amount (D) Price | 5. Amount of<br>Securities6. Ownership<br>Form: Direct7. Nature of<br>IndirectBeneficially<br>Owned(D) orBeneficialOwnedIndirect (I)OwnershipFollowing<br>ransaction(s)<br>(Instr. 3 and 4)(Instr. 4)                           |  |  |  |  |
| Common<br>Stock   | 08/22/2016                               | A $\frac{18.6253}{(1)}$ A \$0  | 9,572.8402 D  |  |  |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title<br>Derivat<br>Securit<br>(Instr. 3 | tive Co<br>y or<br>3) Pr<br>D | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>ionNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Amou<br>Under<br>Secur | le and<br>unt of<br>rlying<br>tities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owno<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|-------------------------------|---|--------------------------------------|--|---------------------|--------------------|------------------------|--|---|--|
|   |                               |   | Code N                               | , ,  | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares             |   |  |

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## **Reporting Owners**

| Reporting Owner Name / Address                                    | Relationships |           |         |            |  |  |
|---|---------------|-----------|---------|------------|--|--|
| reporting officer runner runners                                  | Director      | 10% Owner | Officer | Other      |  |  |
| AuYeung Benjamin<br>205 CROSSPOINT PARKWAY<br>GETZVILLE, NY 14068 |               |           | VP-APAC |            |  |  |
| Signatures  |               |           |         |            |  |  |
| Mary C. O'Connor, Power of Atto<br>AuYeung                        | orney for I   | Benjamin  |         | 08/23/2016 |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.

Includes 7,988.8402 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 143.7854 shares become fully vested and non-forfeitable on 5/20/2017, 376.7632 shares become fully vested and non-forfeitable 50% per year for two years

(2) beginning 5/19/2017, 2,368.3548 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/18/2017, and the remaining 5,099.9368 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2017, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date