

COLUMBUS MCKINNON CORP  
Form 4  
August 03, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HOWARD KAREN L

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)

140 JOHN JAMES AUDUBON  
PARKWAY

3. Date of Earliest Transaction  
(Month/Day/Year)  
08/01/2011

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP-Finance & CFO

(Street)

AMHERST, NY 14228

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock						60,963 <sup>(1)</sup>	D
Common Stock	08/01/2011		M	A	\$ 10	67,463	D
Common Stock	08/01/2011		M	A	\$ 10	75,963	D
Common Stock	08/01/2011		S	D	\$ 16.25	75,263	D
Common Stock	08/01/2011		S	D	\$ 16.26	74,763	D

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Common Stock	08/01/2011	S	600 <u>(2)</u>	D	\$ 16.28	74,163	D
Common Stock	08/01/2011	S	300 <u>(2)</u>	D	\$ 16.3	73,863	D
Common Stock	08/01/2011	S	<u>1,700</u> <u>(2)</u>	D	\$ 16.31	72,163	D
Common Stock	08/01/2011	S	300 <u>(2)</u>	D	\$ 16.315	71,863	D
Common Stock	08/01/2011	S	300 <u>(2)</u>	D	\$ 16.32	71,563	D
Common Stock	08/01/2011	S	<u>1,500</u> <u>(2)</u>	D	\$ 16.33	70,063	D
Common Stock	08/01/2011	S	500 <u>(2)</u>	D	\$ 16.34	69,563	D
Common Stock	08/01/2011	S	<u>1,000</u> <u>(2)</u>	D	\$ 16.35	68,563	D
Common Stock	08/01/2011	S	500 <u>(2)</u>	D	\$ 16.36	68,063	D
Common Stock	08/01/2011	S	300 <u>(2)</u>	D	\$ 16.37	67,763	D
Common Stock	08/01/2011	S	90 <u>(2)</u>	D	\$ 16.38	67,673	D
Common Stock	08/01/2011	S	200 <u>(2)</u>	D	\$ 16.39	67,473	D
Common Stock	08/01/2011	S	200 <u>(2)</u>	D	\$ 16.4	67,273	D
Common Stock	08/01/2011	S	100 <u>(2)</u>	D	\$ 16.41	67,173	D
Common Stock	08/01/2011	S	100 <u>(2)</u>	D	\$ 16.42	67,073	D
Common Stock	08/01/2011	S	5 <u>(2)</u>	D	\$ 16.43	67,068	D
Common Stock	08/01/2011	S	200 <u>(2)</u>	D	\$ 16.45	66,868	D
Common Stock	08/01/2011	S	5 <u>(2)</u>	D	\$ 16.47	66,863	D
Common Stock	08/01/2011	S	100 <u>(2)</u>	D	\$ 16.6	66,763	D
Common Stock	08/01/2011	S	401 <u>(2)</u>	D	\$ 16.61	66,362	D
	08/01/2011	S	100 <u>(2)</u>	D	\$ 16.62	66,262	D

Common Stock								
Common Stock	08/01/2011	S	100 <sup>(2)</sup>	D	\$ 16.63	66,162		D
Common Stock	08/01/2011	S	100 <sup>(2)</sup>	D	\$ 16.635	66,062		D
Common Stock						2,778.216 <sup>(3)</sup>		D
Common Stock						598,222.8763 <sup>(4)</sup>	I	Additional shares held by ESOP; reporting person is 1 of 2 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 10	08/01/2011		M	6,500	08/20/2002 08/19/2011	Common Stock	6,500
Non-Qualified Stock Options (Right to Buy)	\$ 10	08/01/2011		M	8,500	08/20/2002 08/19/2011	Common Stock	8,500
Incentive Stock Options	\$ 5.46					05/17/2005 05/16/2014	Common Stock	20,000

(Right to Buy)

Non-Qualified

Stock Options \$ 28.45

(Right to Buy)

05/19/2009 05/18/2018

Common  
Stock 3,3

Non-Qualified

Stock Options \$ 13.27

(Right to Buy)

05/18/2010 05/17/2019

Common  
Stock 11,0

Non-Qualified

Stock Options \$ 18.24

(Right to Buy)

05/17/2011 05/16/2020

Common  
Stock 8,1

Non-Qualified

Stock Options \$ 19.5

(Right to Buy)

05/23/2011 05/22/2021

Common  
Stock 7,5

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HOWARD KAREN L 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP-Finance & CFO	

## Signatures

Karen L.

08/03/2011

Howard

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - (1) Includes 11,375 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 2,953 shares become fully vested and non-forfeitable 50% for two years beginning 5/18/2012; 1,189 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/19/2012, 3,209 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/17/2012, and the remaining 4,024 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
  - (2) Sale of shares pursuant to 10b5-1 Plan.
  - (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP") (incorrectly reported as 2,775.1667 on previous Form 4).
  - (4) Incorrectly reported as 598,225.9256 on previous Form 4.
  - (5) All exercisable, subject to IRS limitations.
  - (6) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
  - (7) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
  - (8) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
  - (9)

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Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010; options become exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.