## Edgar Filing: LIGHTPATH TECHNOLOGIES INC - Form 8-K

### LIGHTPATH TECHNOLOGIES INC

Form 8-K January 09, 2003

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# SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

PURSUANT TO SECTION 13 OR 15(D) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): January 9, 2003 COMMISSION FILE NUMBER 000-27548

LIGHTPATH TECHNOLOGIES, INC. (Exact name of registrant as specified in its charter)

DELAWARE
(State or other jurisdiction of incorporation or organization)

86-0708398 (I.R.S. Employer Identification No.)

2603 CHALLENGER TECH CT. SUITE 100
ORLANDO, FLORIDA
(Address of principal executive offices)

32826 (Zip Code)

Registrant's telephone number, including area code: (407) 382-4003

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ITEM 7. EXHIBITS.

Exhibit Description

99.1 Press release dated January 9, 2003, announcing preliminary results for the second quarter of fiscal 2003 and the conference call on January 30, 2003 and the NASDAQ decision to proceed with a proxy for a reverse stock split.

### ITEM 9. REGULATION FD DISCLOSURE

The information in this report is being furnished pursuant to Regulation FD. In accordance with General Instruction B.2 of Form 8-K, the information in this report shall not be deemed to be "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1934, as amended. The furnishing of the information set forth in this report is

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not intended to, and does not, constitute a determination or admission as to the materiality or completeness of such information.

On January 9, 2003, LightPath Technologies, Inc. a Delaware corporation (the "Company"), issued a press release announcing, among other things, preliminary information for the second quarter of fiscal 2003 and that the Company intends to release second quarter 2003 financial results, and to hold a publicly accessible conference call, on January 30, 2003. In addition, the Company announced that NASDAQ approved the Company's plan to proceed with a proxy for a reverse stock split. A copy of the Company's press release is attached hereto as Exhibit 99.1 and incorporated herein by reference.

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this Report to be signed in its behalf by the undersigned, thereunto duly authorized.

LIGHTPATH TECHNOLOGIES, INC.

By: /s/ Todd Childress January 9, 2003

TODD CHILDRESS