Edgar Filing: GUIRE PATRICK E - Form 4

GUIRE PATRICK E Form 4 September 19, 2002

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response...0.5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

O Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Name and Address of Reporting Person*	2.	Issuer Name and Ticker or Trading Symbol	3.	I.R.S. Identificatio Reporting Person, if an entity	
Guire, Patrick, E.		SurModics, Inc. (SRDX)			
(Last) (First) (Middle)	=				
9924 West 74th Street	4.	Statement for Month/Day/Year	5.	If Amendment, Da (Month/Day/Year)	ate of Original
(Street)	-	9/17/02			
	6.	Relationship of Reporting Person(s) to Issuer (Check All Applicable)	7.	Individual or Joint (Check Applicable 1	
Eden Prarie, MN, 55344	-	X Director O 10% Owner		X	Form Filed by One Reporting Person
(City) (State) (Zip)		X Officer (give title below)		0	Form Filed by More than One Reporting
		Other (specify below)			Person
		Senior Vice President			

Edgar Filing: GUIRE PATRICK E - Form 4

Reminder:	Report on a separate line for each class of securities beneficially owned directly or indirectly.
*	If the form is filed by more than one reporting person, <i>see</i> instruction 4(b)(v).

Security (Instr. 3)	Transaction 2 Date (Month/Day/Year)	A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction 4 Code (Instr. 8)	J. Securities A Disposed of (Instr. 3, 4 a	(D)	d (A) or	5.Amount of Sec- urities Beneficially Owned Following Reported Trans- action(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownershi (Instr. 4)
			Code V	Amount	(A) or (D)	Price			
Common Stock	9/17/02		M	\$18,000	A	\$2.50	215,438*	D	
Common Stock							132,000	I	By Spouse

Edgar Filing: GUIRE PATRICK E - Form 4

onversion or Exercise 3. ice of Derivative curity	Transaction 3 Date (Month/Day/Year)	3A. Deemed Execution 4. Date, if any (Month/Day/Year)	Transaction 5. Code (Instr. 8)		(A) or Disposed of (l
\$2.50			Code V	(A)	
\$2.50				(11)	(D)
ΨΔ.30	9/17/02	М			18,000
\$3,875	Previously Reported				
	\$3,875			\$3,875 Reported	\$3,875 Reported

6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and of Under Securities	rlying es	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10.Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	
Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
(1)	9/18/02	Common	n 18,000	None	0	D	
(2)	9/21/05	Common	n 10,000	None	0	D	
_	_						
_	_		ugh issuer's	Employee St	tock Purchase Plan on 02/28/02.		
Includes 378	8 shares acc	quired thro			tock Purchase Plan on 02/28/02.		
Includes 378 Exercisable	8 shares acc	quired thro	es of 12,000	shares each c			
1) Exercisabl	8 shares acc	quired thro increment	es of 12,000	shares each co	commencing 9/18/97.		

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Page 4