#### FULLER ROBERT B

Form 4

August 17, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

**OMB APPROVAL** 

January 31, 2005

0.5

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subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* FULLER ROBERT B

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

(Zin)

FS Bancorp, Inc. [FSBW] 3. Date of Earliest Transaction

(Check all applicable)

C/O FS BANCORP, INC., 6920

(First)

(Month/Day/Year)

Director 10% Owner X\_ Officer (give title

08/15/2018

Other (specify Chief Credit Officer

220TH STREET SW, SUITE 330

(Street)

(State)

08/15/2018

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting

D

Person

below)

**MOUNTLAKE** TERRACE, WA 98043

(City)

Common

Stock

(City)	(State) (2	Z1p) -	Table I - Non-De	erivative Securities Ac	quired, Disposed o	of, or Beneficially Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities	5. Amount of	6. Ownership 7. Nature of

Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) Securities Beneficially Owned **Following** 

Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s) (Instr. 3 and 4)

Price (D)

(A)

or Code V Amount

A 1,200 Α <u>(1)</u> 1,200

Common 7,000 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	ative Expiration Date Ures (Month/Day/Year) (In		Underlying S	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 58.6	08/15/2018		A	4,800	08/15/2019(2)	08/15/2028	Common Stock	4,800	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
. 8	Director	10% Owner	Officer	Other
FULLER ROBERT B C/O FS BANCORP, INC. 6920 220TH STREET SW, SUITE 330 MOUNTLAKE TERRACE, WA 98043			Chief Credit Officer	

## **Signatures**

/s/ Robert B.
Fuller

\*\*Signature of Reporting Person

O8/17/2018

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents award of restricted stock under the FS Bancorp, Inc. 2018 Equity Incentive Plan. Vests in equal installments of 20% per year beginning on August 15, 2019.
- (2) Stock options award pursuant to the FS Bancorp, Inc. 2018 Equity Incentive Plan. Vests in equal installments of 20% per year beginning on August 15, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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