

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP

Form 4

December 04, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Spina, David A.

State Street Corporation

225 Franklin Street

Boston, MA 02110

2. Issuer Name and Ticker or Trading Symbol

State Street Corporation

STT

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

11/30/01

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director () 10% Owner (X) Officer (give title below) () Other

(specify below)

Chairman and Chief Executive Officer

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person

() Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Transaction Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
Common Stock	11/23/01	G	V 4,000 D	922,362 (1)
Common Stock	11/23/01	G	V 2,000 A	4,000 (1)
				80,000 (1)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities	8. Percentage of Total Ownership

Edgar Filing: STATE STREET CORP - Form 4

	Secu- rity	Date	Code	V	Amount	D cisa- ble	Date	of Shares	
Employee Stock Option (right to buy)	7.2344					12/31/95-	12/14/04	Common Stock	320,000 (1)
						12/31/99			
Employee Stock Option (right to buy)	11.00					12/31/96-	12/20/05	Common Stock	100,000 (1)
						12/31/00			
Employee Stock Option (right to buy)	15.875					12/19/97-	12/18/06	Common Stock	320,000 (1)
						12/19/99			
Employee Stock Option (right to buy)	33.71875					12/17/99-	12/16/08	Common Stock	220,400 (1)
						12/17/01			
Employee Stock Option (right to buy)	34.64065					12/16/00-	12/15/09	Common Stock	200,000 (1)
						12/16/02			
Employee Stock Option (right to buy)	60.7375					12/21/01-	12/20/10	Common Stock	250,800 (1)
						12/21/03			

Explanation of Responses:

(1) Reflects two-for-one stock split distributed on May 30, 2001.

(2) Shares held by members of Mr. Spina's family with respect to which Mr. Spina disclaims beneficial ownership of any such shares.

SIGNATURE OF REPORTING PERSON

/s/David A. Spina

DATE

12/3/01