

Edgar Filing: UCAR INTERNATIONAL INC - Form 4

UCAR INTERNATIONAL INC

Form 4

October 10, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

Marshall

Thomas

(Last)

(First)

(Middle)

600 Grant Street, Suite 1080

(Street)

Pittsburgh

PA

15219-2704

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

UCAR International Inc.

UCR

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

09/30/2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

☒ Director

☐ 10% Owner

☐ Officer (give title below)

☐ Other (specify below)

Edgar Filing: UCAR INTERNATIONAL INC - Form 4

7. Individual or Joint/Group Filing (Check applicable line)

[X] Form filed by one Reporting Person

☐ Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

			Amount or Price
		Code V	(A) (D)

Common Stock

Common Stock

* If the Form is filed by more than one Reporting Person, see Instruction 4(b) (v) .

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

Edgar Filing: UCAR INTERNATIONAL INC - Form 4

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

=====									
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Exer- cisable Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares			
Time Option (right to buy)	\$31.4516				06/15/99 01/25/07	Common 5,000 Stock, par value \$.01 per share			
Time Option (right to buy)	\$17.81				01/01/01 01/01/10	Common 3,200 Stock, par value \$.01 per share			
Stock Option (right to buy)	\$8.57				12/15/01 12/15/10 (3) (2)	Common 7,700 Stock, par value \$.01 per share			
Stock Option (right to buy)	\$8.57				(4) 12/15/10 (2)	Common 5,840 Stock, par value \$.01 per share			
Stock Option (right to buy)	\$8.57				12/15/00 12/15/10 (2)	Common 1,870 Stock, par value \$.01 per share			
Stock Option (right to buy) (5)	\$8.85	09/25/01	A	2,260	09/25/01 09/25/11 (2)	Common 2,260 Stock, par value \$.01 per share			

Edgar Filing: UCAR INTERNATIONAL INC - Form 4

Explanation of Responses:

- (1) Owned through self directed IRA account.
- (2) Options expire on such date unless reporting person ceases to be a director, in which event options expire four years from date reporting person's directorship ends.
- (3) Such options shall vest as long as the reporting person is still a director on such date.
- (4) Such options vest ratably over 2001.
- (5) Options were granted in lieu of cash fees in accordance with prior practice.

/s/ Karen G. Narwold

October 10, 2001

**Signature of Reporting Person
Karen G. Narwold, Attorney-in-Fact for
Thomas Marshall

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Page 2