ROTHKOPF JACK E

Form 4 June 22, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL OMB

3235-0287 Number:

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if no longer subject to

Check this box

SECURITIES Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ROTHKOPF JACK E

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

PRUDENTIAL BANCORP, INC.

(Check all applicable)

SVP, CFO and Treasurer

[PBIP]

06/20/2018

(Last) (First) (Middle)

(Street)

(State)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify below)

C/O PRUDENTIAL BANCORP, INC., 1834 WEST OREGON

AVENUE

(City)

Stock

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

PHILADELPHIA, PA 19145

Table I. Non Derivative Securities Acquired Disposed of an Peneficially Owned

` •	, ,	1 adi	e 1 - Non-D	erivative s	Securi	ues Acqu	nrea, Disposea oi	, or Beneficiali	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	06/20/2018		Code V M	Amount 18,683	(D)	Price \$ 11.84	55,658	D	
Common Stock	06/20/2018		F	11,224	D	\$ 19.71	44,434	D	
Common	06/20/2018		S	5,000	D	\$ 19.5	39,434 <u>(1)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option	\$ 12.23					02/18/2016(2)	02/18/2025	Common Stock	50,000
Stock Option	\$ 11.84	06/20/2018		M	18,683	01/05/2010(3)	01/05/2019	Common Stock	18,683
Stock Option	\$ 7.68					01/05/2014(4)	01/05/2023	Common Stock	5,901

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROTHKOPF JACK E C/O PRUDENTIAL BANCORP, INC. 1834 WEST OREGON AVENUE PHILADELPHIA, PA 19145

SVP, CFO and Treasurer

Signatures

/s/Jack E.

Rothkopf 06/22/2018
**Signature of Date

Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 6,000 shares which reflects the unvested portion of a grant originally covering 15,000 shares pursuant to the 2014 SIP that vest 20% per year commencing on February 18, 2016.
- (2) The options are vesting at a rate of 20% per year commencing on February 18, 2016.
- (3) The options vested at a rate of 20% per year commencing on January 5, 2010.

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(4) The options vested at a rate of 20% per year commencing on January 5, 2014.

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