PRUDENTIAL BANCORP, INC.

Form 4

January 07, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ROTHKOPF JACK E

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol PRUDENTIAL BANCORP, INC.

(Check all applicable)

[PBIP]

(Last)

(Middle)

3. Date of Earliest Transaction

X\_ Officer (give title below)

Director

10% Owner Other (specify

(Month/Day/Year)

01/05/2016

SVP, CFO and Treasurer

C/O PRUDENTIAL BANCORP. INC., 1834 WEST OREGON

(First)

(Street)

**AVENUE** 

4. If Amendment, Date Original

Applicable Line)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PHILADELPHIA, PA 19145

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Securities Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

(A) Transaction(s) or (Instr. 3 and 4) (D) Price Amount

Code

Common 01/05/2016  $F^{(1)}$ D  $22,115^{(2)}$ D 144 14 92 Stock

Common Stock

6.337 (3) Ι

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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By ESOP

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and A Underlying S (Instr. 3 and 4	ecurities	8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 12.23					02/18/2016(4)	02/18/2025	Common Stock	50,000	
Stock Option	\$ 11.84					01/05/2010(5)	01/05/2019	Common Stock	18,683	
Stock Option	\$ 7.68					01/05/2014(6)	01/05/2023	Common Stock	5,901	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
reporting o wher runne, man ess	

Director 10% Owner Officer Other

ROTHKOPF JACK E C/O PRUDENTIAL BANCORP, INC. 1834 WEST OREGON AVENUE PHILADELPHIA, PA 19145

SVP, CFO and Treasurer

De

## **Signatures**

/s/ Jack E.

Rothkopf 01/07/2016

\*\*Signature of Person Date

\*\*Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition solely to meet tax obligations for distribution of shares from 2008 Recognition and Retention Plan Trust.
- Includes 832 shares held in the 2008 Recognition and Retention Plan Trust which reflects the unvested portion of a grant award originally covering 2,077 shares of common stock that are vesting at the rate of 20% per year commencing on January 5, 2014. Also includes 15,000 shares granted pursuant to the 2014 Stock Incentive Plan that vest 20% per year commencing on February 18, 2016.
- (3) Includes shares allocated to the reporting person's account in the ESOP since the last filed Form 4.
- (4) The options are vesting at a rate of 20% per year commencing on February 18, 2016.

Reporting Owners 2

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- (5) The options vested at a rate of 20% per year commencing on January 5, 2010.
- (6) The options are vesting at a rate of 20% per year commencign on January 5, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.