

Silver Richard
Form 3
January 09, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â CITY OF LONDON		(Month/Day/Year)	CHINA FUND INC [CHN]	
INVESTMENT GROUP PLC		12/29/2017		
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	
77 GRACECHURCH STREET,Â			5. If Amendment, Date Original Filed(Month/Day/Year)	
(Street)			(Check all applicable)	
LONDON,Â X0Â EC3V0AS			<input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)	
(City)	(State)	(Zip)	6. Individual or Joint/Group Filing(Check Applicable Line) <input type="checkbox"/> Form filed by One Reporting Person <input checked="" type="checkbox"/> Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, par value \$.01 per share ⁽¹⁾	308,857 ⁽²⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	116,563 ⁽³⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	250,030 ⁽⁴⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	172,029 ⁽⁵⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	334,219 ⁽⁶⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	168,067 ⁽⁷⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	158,448 ⁽⁸⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	305,041 ⁽⁹⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	46,658 ⁽¹⁰⁾	I	See footnote ⁽¹³⁾
Common Stock, par value \$.01 per share ⁽¹⁾	42,096 ⁽¹¹⁾	I	See footnote ⁽¹³⁾

Edgar Filing: Silver Richard - Form 3

Common Stock, par value \$.01 per share ⁽¹⁾ 2,288,597 ⁽¹²⁾ I See footnote ⁽¹³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON, X0 EC3V0AS	Â	Â X	Â	Â
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS	Â	Â X	Â	Â
Silver Richard C/O ANJIE LAROCCA, DEUTSCHE ASSET MGMT. 345 PARK AVENUE NEW YORK, NY 10154	Â	Â X	Â	Â

Signatures

/s/ Barry Olliff, Director- City of London Group PLC	01/09/2018
**Signature of Reporting Person	Date
/s/ Barry Olliff, Director- City of London Investment Management Company Limited	01/09/2018
**Signature of Reporting Person	Date
/s/ Julian Reid	01/09/2018
**Signature of Reporting Person	Date
/s/ Richard A. Silver	01/09/2018
**Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 3 is filed jointly by City of London Investment Group, PLC ("CLIG"), City of London Investment Management Company Limited ("CLIM"), Julian Reid, and Richard A. Silver (collectively, the "Reporting Persons"). Each of the Reporting Persons is a member of a Section 13(d) group that collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein. No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of the Issuer.
- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Global Fund.
- (5) These securities are beneficially owned by Emerging Markets Global Fund.
- (6) These securities are beneficially owned by Emerging Markets Investable Fund.
- (7) These securities are beneficially owned by Global Emerging Markets Fund.
- (8) These securities are beneficially owned by The Emerging World Fund.
- (9) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (10) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (11) These securities are beneficially owned by The EM Plus CEF Fund.
- (12) These securities are beneficially owned by unaffiliated third-party segregated accounts.
- (13) As of the date hereof, CLIG, through its control of CLIM, and CLIM, in its capacity as investment adviser to the funds listed above and the unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.