

Edgar Filing: CALI JOHN R - Form 4

CALI JOHN R
Form 4
November 13, 2001

FORM 4

/ / CHECK THIS BOX IF NO LONGER SUBJECT TO SECTION 16. FORM 4 OR FORM 5 OBLIGATIONS MAY CONTINUE. SEE INSTRUCTION 1(b).
(Print or Type Responses)

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
Section 17(a) of the Public Utility Holding Company Act of 1935
Section 30(f) of the Investment Company Act of 1940

<p>1. Name and Address of Reporting Person*</p> <p style="text-align: center;">Cali John R.</p> <p style="text-align: center;">(Last) (First) (Middle)</p> <p>c/o Mack-Cali Realty Corporation 11 Commerce Drive</p> <p style="text-align: center;">(Street)</p> <p>Cranford, New Jersey 07016</p> <p style="text-align: center;">(City) (State) (Zip)</p>	<p>2. Issuer Name AND Ticker or Trading Symbol</p> <p style="text-align: center;">Mack-Cali Realty Corporation (CLI)</p> <p>3. IRS or Social Security Number of Reporting Person (Voluntary)</p> <p style="text-align: center;">10/01</p> <p>4. Statement for Month/Year</p> <p style="text-align: center;">10/01</p> <p>5. If Amendment, Date of Original (Month/Year)</p> <p style="text-align: center;"><u> X </u></p>	<p>6. R</p> <p style="text-align: center;">---</p> <p>7.</p> <p style="text-align: center;">---</p>
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TABLE I - NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED, OR TRANSFERRED						
1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amo Sec Ben Own End Mon		
		Code V	Amount (A) or (D) Price			(In and
Common Stock	10/2/01	M(1)	20,000 A \$26.25			
Common Stock	10/2/01	S(1)	10,000 D \$30.50			
Common Stock	10/2/01	S(1)	10,000 D \$30.40			
Common Stock	10/3/01	M(1)	30,000 A \$26.25			
Common Stock	10/3/01	S(1)	10,000 D \$31.25			
Common Stock	10/3/01	S(1)	10,000 D \$30.80			
Common Stock	10/3/01	S(1)	10,000 D \$30.95			
Common Stock	10/9/01	M(1)	10,000 A \$26.25			

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Common Stock	10/9/01	S(1)	10,000	D	\$31.00
Common Stock	10/10/01	M(1)	11,100	A	\$26.25
Common Stock	10/10/01	S(1)	1,100	D	\$30.95
Common Stock	10/10/01	S(1)	10,000	D	\$31.00
Common Stock	10/11/01	M(1)	25,000	A	\$26.25
Common Stock	10/11/01	S(1)	10,000	D	\$31.20
Common Stock	10/11/01	S(1)	15,000	D	\$31.25
Common Stock	10/12/01	M(1)	15,000	A	\$26.25
Common Stock	10/12/01	S(1)	5,000	D	\$31.45
Common Stock	10/12/01	S(1)	10,000	D	\$31.40
Common Stock	10/15/01	M(1)	5,000	A	\$26.25
Common Stock	10/15/01	S(1)	5,000	D	\$31.50
Common Stock	10/16/01	M(1)	8,900	A	\$26.25
Common Stock	10/16/01	S(1)	3,900	D	\$31.70
Common Stock	10/16/01	S(1)	5,000	D	\$31.55
Common Stock	10/24/01	M(1)	10,000	A	\$17.25
Common Stock	10/24/01	S(1)	5,000	D	\$31.20
Common Stock	10/24/01	S(1)	5,000	D	\$31.15
Common Stock	10/25/01	M(1)	11,600	A	\$17.25
Common Stock	10/25/01	S(1)	5,000	D	\$30.35
Common Stock	10/25/01	S(1)	5,000	D	\$30.32
Common Stock	10/25/01	S(1)	1,600	D	\$31.15
Common Stock	10/26/01	M(1)	12,000	A	\$17.25
Common Stock	10/26/01	S(1)	1,900	D	\$30.70
Common Stock	10/26/01	S(1)	100	D	\$30.72
Common Stock	10/26/01	S(1)	4,200	D	\$30.71
Common Stock	10/26/01	S(1)	5,000	D	\$30.65
Common Stock	10/26/01	S(1)	800	D	\$30.66
Common Stock	10/30/01	M(1)	6,400	A	\$17.25
Common Stock	10/30/01	S(1)	6,400	D	\$30.35
Common Stock	10/31/01	M(1)	800	A	\$17.25

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Common Stock	10/31/01	S(1)	800	D	\$30.35	
Common Stock	11/01/01	M(1)	5,000	A	\$17.25	
Common Stock	11/01/01	S(1)	5,000	D	\$30.73	
Common Stock	11/02/01	M(1)	16,000	A	\$17.25	
Common Stock	11/02/01	S(1)	1,000	D	\$30.65	
Common Stock	11/02/01	S(1)	5,000	D	\$30.60	
Common Stock	11/02/01	S(1)	5,000	D	\$30.50	
Common Stock	11/02/01	S(1)	200	D	\$30.46	
Common Stock	11/02/01	S(1)	4,800	D	\$30.45	
Common Stock	11/05/01	M(1)	29,100	A	\$17.25	
Common Stock	11/05/01	S(1)	4,100	D	\$31.00	
Common Stock	11/05/01	S(1)	5,000	D	\$30.96	
Common Stock	11/05/01	S(1)	5,000	D	\$30.95	
Common Stock	11/05/01	S(1)	5,000	D	\$30.80	
Common Stock	11/05/01	S(1)	800	D	\$30.73	
Common Stock	11/05/01	S(1)	4,200	D	\$30.72	
Common Stock	11/05/01	S(1)	5,000	D	\$30.60	78,

* If the form is filed by more than one reporting person, SEE Instruction 4(b)(v).
 Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

FORM 4 (CONTINUED)

TABLE II - DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)
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			Code	V	(A)	(D)
Employee Stock Option (Right to Buy)	\$26.25	10/2/01	M(1)			20,000
Employee Stock Option (Right to Buy)	\$26.25	10/3/01	M(1)			30,000
Employee Stock Option (Right to Buy)	\$26.25	10/9/01	M(1)			10,000
Employee Stock Option (Right to Buy)	\$26.25	10/10/01	M(1)			11,100
Employee Stock Option (Right to Buy)	\$26.25	10/11/01	M(1)			25,000
Employee Stock Option (Right to Buy)	\$26.25	10/12/01	M(1)			15,000
Employee Stock Option (Right to Buy)	\$26.25	10/15/01	M(1)			5,000
Employee Stock Option (Right to Buy)	\$26.25	10/16/01	M(1)			8,900
Employee Stock Option (Right to Buy)	\$17.25	10/24/01	M(1)			10,000
Employee Stock Option (Right to Buy)	\$17.25	10/25/01	M(1)			11,600
Employee Stock Option (Right to Buy)	\$17.25	10/26/01	M(1)			12,000
Employee Stock Option (Right to Buy)	\$17.25	10/30/01	M(1)			6,400
Employee Stock Option (Right to Buy)	\$17.25	10/31/01	M(1)			800
Employee Stock	\$17.25	11/01/01	M(1)			5,000

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Option
(Right to Buy)

Employee Stock Option (Right to Buy)	\$17.25	11/02/01	M(1)	16,000
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Employee Stock Option (Right to Buy)	\$17.25	11/05/01	M(1)	29,100
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7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned at End of Month (Instr. 4)	10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Title	Amount or Number of Shares			

Common Stock	20,000		D	
Common Stock	30,000		D	
Common Stock	10,000		D	
Common Stock	11,100		D	
Common Stock	20,000		D	
Common Stock	15,000		D	
Common Stock	5,000		D	
Common Stock	8,900	0	D	
Common Stock	10,000		D	
Common Stock	11,600		D	

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Common Stock	12,000		D
Common Stock	6,400		D
Common Stock	800		D
Common Stock	5,000		D
Common Stock	16,000		D
Common Stock	29,100	0	D

Explanation of Responses:

- (1) The reporting person obtained and sold the Common Stock as a result of the cashless exercise
- (2) On October 24, 1996, the reporting person was granted an option to purchase 125,000 shares of
vested in five equal installments beginning December 31, 1996.
- (3) On August 31, 1994, the reporting person was granted an option to purchase 200,000 shares of
in three equal installments beginning December 31, 1994.

/s/ John R. Cal

**Intentional misstatements or omissions of facts constitute
Federal Criminal Violations. SEE 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**Signature o

* If the form is filed by more than one reporting person, SEE Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or i
(Print or Type Respo