

Edgar Filing: BORGWARNER INC - Form 5

BORGWARNER INC  
 Form 5  
 February 14, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549

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 FORM 5  
 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP  
 / / Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
 may continue. See instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of  
 the Public Utility Holding Company Act of 1935 or Section 30(f) of the  
 Investment Company Act of 1940

1. Name and Address of Reporting Person  
 GARY P. FUKAYAMA  
 200 S. Michigan Ave.  
 Chicago, IL 60604
2. Issuer Name and Ticker or Trading Symbol  
 BorgWarner Inc. (BWA)
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
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4. Statement For Month/Year  
 DECEMBER 31, 2001
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person to Issuer (Check all applicable)  
 ( ) Director (X) Officer (give title below) ( ) 10% Owner ( ) Other  
 Executive Vice President
7. Individual or Joint/Group Filing (Check applicable line)  
 /x/ Form Filed by One Reporting Person  
 --- Form Filed by More Than One Reporting Person

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 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date (Month/Day/Year)	3. Transaction Code Code   V	4. Securities Acquired (A) or Disposed of (D) Amount   (A) or (D)   Price
Common Stock			
5. Amount of Securities Beneficially Owned at End of Month	25,323	6. Ownership Form Direct (D) or Indirect (I) D	7. Nature of Indirect Beneficial Ownership

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g. Puts, Calls, Warrants, Options, Convertible Securities)

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (month \day\year)	4. Transaction Code Code   V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (A)   (D)

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Employee Stock	\$48.275	7/25/01	A	1,651
Option (Right to Buy)				
Employee Stock	\$48.275	7/25/01	A	1,650
Option (Right to Buy)				

6. Date Exercisable and Expiration Date (month/day/year)	7. Title and Amount of Underlying Securities	8. Price of Derivative Security	9. Number of Derivative Securities Beneficially Owned At End Of Month	10. Ownership Form of Derivative Security (Direct (D) or Indirect (I))
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7/25/03	7/25/03	Common Stock	1,651	1651	D
7/25/04	7/25/04	Common Stock	1,650	1650	D

11. Nature of Indirect Beneficial Ownership

Signature of Reporting Person  
 /s/ Laurene H. Horiszny  
 as attorney-in-fact for GARY P. FUKAYAMA  
 Date: February 14, 2002