

THOR INDUSTRIES INC

Form 4

September 30, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
ORTHWEIN PETER BUSCH

(Last) (First) (Middle)

**C/O THOR INDUSTRIES,
INC., 601 EAST BEARDSLEY
AVENUE**

(Street)

ELKHART, IN 46514-3305

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

THOR INDUSTRIES INC [THO]

3. Date of Earliest Transaction

(Month/Day/Year)

09/29/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Exec. Chairman of the Board

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|-------------------|--------------|---|--|---|
| | | | Code | V | Amount (A) or (D) | Price | | | |
| Common Stock | 09/29/2016 | | S | | 4,672 (1) | D \$ 85.6934 | 40,039 | I | See Note (2) |
| Common Stock | | | | | | | 1,400,252 | D | |
| Common Stock | | | | | | | 74,783 | I | See Note (3) |
| Common Stock | | | | | | | 66,840 | I | See Note (4) |
| Common Stock | | | | | | | 124,000 | I | See Note (5) |

Edgar Filing: THOR INDUSTRIES INC - Form 4

| | | | |
|-----------------|---------|---|-----------------|
| Common Stock | 30,000 | I | See Note (6) |
| Common Stock | 299,700 | I | See Note (7) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|---|--|---|---|--------------------------------------|--|--|---|---|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships |
|--|----------------------------------|
| | Director 10% Owner Officer Other |
| ORTHWEIN PETER BUSCH C/O THOR INDUSTRIES, INC. 601 EAST BEARDSLEY AVENUE ELKHART, IN 46514-3305 | X Exec. Chairman of the Board |

Signatures

/s/Peter B.
Orthwein 09/30/2016

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: THOR INDUSTRIES INC - Form 4

- (1) These shares were sold pursuant to the reporting person's Rule 10b5-1 plan.

These shares are held for the benefit of a charitable annuity trust of which the reporting person and his wife are the trustees and of which

- (2) the reporting person's three youngest children are the beneficiaries. The reporting person disclaims beneficial ownership of the shares except to the extent of the pecuniary interest of the reporting person, his wife and his three youngest children in the shares.

- (3) These shares are held for the account of the Trust FBO Peter B. Orthwein, of which the reporting person is the trustee and beneficiary.

- (4) These shares are held for the account of the reporting person's wife.

- (5) These shares are held for the account of the Adolphus Busch Orthwein Trust for the benefit of the reporting person's children. The reporting person is one of the trustees of the trust.

- (6) These shares are held for the account of a trust for the reporting person's half-brother. The reporting person is one of the trustees of the trust.

These shares are held for the account of the PBO-BMO Descendants Trust, of which the reporting person is the sole trustee and of which

- (7) the reporting person's three youngest children are the beneficiaries. The reporting person disclaims beneficial ownership of the shares except to the extent of the pecuniary interest of the reporting person, his wife and his three youngest children in the shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.