## BIG 5 SPORTING GOODS Corp

Form SC 13G December 05, 2016

## **SECURITIES**

**AND** 

**EXCHANGE** 

**COMMISSION** 

Washington,

D.C. 20549

## **SCHEDULE**

13G

Under the

Securities

Exchange Act of

1934

(Amendment

No.\_\_\_)\*

## Big 5 Sporting

Goods

Corporation

(Name of

Issuer)

## Common Stock,

par value \$0.01

per share

(Title of Class

of Securities)

## 08915P101

(CUSIP

Number)

November 28,

2016

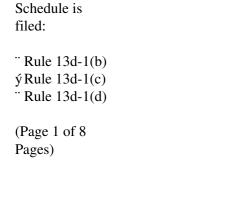
(Date of Event

Which Requires

Filing of This

Statement)

Check the appropriate box to designate the rule pursuant to which this



<sup>\*</sup>The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

## CUSIP No. 08915P101 13GPage 2 of 8 Pages

1	NAME OF REPORTING PERSON		
		ric Investors	
	LLC CHEC	'K	
	THE	.18	
		OPRI@TE	
2	BOX IF A		
		BER (b) "	
	OF A	v.D.	
3	GROU		
3	SEC USE ONLY CITIZENSHIP OR		
	PLAC		
4	_	NIZATION	
	State of Delaware		
		SOLE	
	_	VOTING POWER	
	5	POWER	
		0	
		SHARED	
		VOTING	
		POWER	
NUMBER OF	6	1 202 000	
SHARES		1,203,880 shares of	
BENEFICIALLY		Snares of Common Stock	
OWNED BY		SOLE	
EACH		DISPOSITIVE	
REPORTING	7	POWER	
PERSON WITH:			
		0	
		SHARED	
		DISPOSITIVE	
	8	POWER	
	O	1,203,880	
		shares of	
		Common Stock	
9		REGATE	
	AMOUNT		
	BENEFICIALLY		
	OWNED BY EACH REPORTING		
	KLFUKTINU		

## **PERSON**

1,203,880 shares of Common Stock CHECK BOX IF THE

AGGREGATE AMOUNT IN ...

ROW (9)
EXCLUDES
CERTAIN
SHARES

PERCENT OF

**CLASS** 

REPRESENTED BY AMOUNT IN ROW

(9)

11

**12** 

5.50% TYPE OF REPORTING PERSON

00

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1	NAME OF REPORTING PERSON	
2 3 4	Man Group plc CHECK THE APPROPRI(A)TE BOX IF A MEMBER (b) " OF A GROUP SEC USE ONLY CITIZENSHIP OR PLACE OF ORGANIZATION	
		l Kingdom SOLE VOTING
	5	POWER
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH:	6 7	0 SHARED VOTING POWER 1,203,880 shares of Common Stock SOLE DISPOSITIVE POWER
9	AMOU BENE OWNI	FICIALLY ED BY EACH RTING

1,203,880 shares of Common Stock CHECK BOX

IF THE

**AGGREGATE** AMOUNT IN ..

ROW (9)

10

**12** 

**EXCLUDES CERTAIN SHARES** PERCENT OF

**CLASS** 

REPRESENTED BY

11 AMOUNT IN ROW

(9)

5.50% TYPE OF REPORTING **PERSON** 

OO, HC

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#### Item 1(a). NAME OF ISSUER:

The name of the issuer is Big 5 Sporting Goods Corporation, a Delaware corporation (the "Company").

# Item 1(b). ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES:

The Company's principal executive offices are located at 2525 East El Segundo Boulevard, El Segundo, California 90245.

# Item 2(a). NAME OF PERSON FILING:

This statement is filed by the entities and persons listed below, who are collectively referred to herein as the "Reporting Persons" with respect to the Common Stock (as defined in Item 2(d) below):

**Investment Manager:** 

Numeric Investors LLC (the "Investment Manager"), with respect to the Common Stock held by certain funds (i) and/or managed accounts to which the Investment Manager serves as investment manager (collectively, the "Numeric Funds").

## Parent Company:

(ii) Man Group plc (the "Parent Company"), which indirectly, through various intermediate entities, controls the Investment Manager, with respect to the Common Stock held by each of the Numeric Funds.

# Item 2(b). ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE: Item 2(c). CITIZENSHIP:

This statement is filed by:

Numeric Investors LLC

470 Atlantic Avenue,6th Floor

(i) Boston, MA 02210

Citizenship: State of Delaware

Man Group Plc

Riverbank House

2 Swan Lane

(ii)

London EC4R 3AD

United Kingdom

Citizenship: United Kingdom

## Item 2(d). TITLE OF CLASS OF SECURITIES:

Common Stock, par value \$0.01 per share (the "Common Stock").

## Item 2(e). CUSIP NUMBER:

08915P101

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#### Item IF THIS STATEMENT IS FILED PURSUANT TO RULES 13d-1(b) OR 13d-2(b) OR (c), CHECK 3. WHETHER THE PERSON FILING IS A:

- (a) "Broker or dealer registered under Section 15 of the Act,
- (b) "Bank as defined in Section 3(a)(6) of the Act,
- (c) "Insurance Company as defined in Section 3(a)(19) of the Act,
- (d) Investment Company registered under Section 8 of the Investment Company Act of 1940,
- (e) "An investment adviser in accordance with Rule 13d-1(b)(1)(ii)(E);
- (f) "Employee Benefit Plan or Endowment Fund in accordance with Rule 13d-1(b)(1)(ii)(F),
- (g) Parent Holding Company or control person in accordance with Rule 13d-1(b)(1)(ii)(G),
- (h) Savings Association as defined in Section 3(b) of the Federal Deposit Insurance Act,
- (i) ... A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the **Investment Company Act**;
- (j) "A non-U.S. institution in accordance with Rule 13d-1(b)(1)(ii)(J);
- (k) "Group, in accordance with Rule 13d-1(b)(1)(ii)(K).

If filing as a non-U.S. institution in accordance	e with Rule 13d-1(b)(1)(ii)(J), please
specify the type of institution:	

## **Item 4. OWNERSHIP**

The information required by Items 4(a) - (c) is set forth in Rows (5) - (11) of the cover page for each Reporting Person hereto and is incorporated herein by reference for each such Reporting Person. The percentage set forth in Row (11) of the cover page for each Reporting Person is based on the 21,895,236 shares of Common Stock outstanding as of October 26, 2016, as reported in the Company's Quarterly Report on Form 10-Q for the quarterly period ended October 2, 2016 filed with the Securities and Exchange Commission on November 2, 2016.

The Investment Manager, which serves as the investment manager to each of the Numeric Funds, may be deemed to be the beneficial owner of all of the Common Stock owned by the Numeric Funds. The Parent Company, which indirectly, through various intermediate entities, controls the Investment Manager, may be deemed to be the beneficial owner of all the Common Stock owned by the Numeric Funds. The Parent Company hereby disclaims any beneficial ownership of any such Common Stock.

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## Item 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS.

Not applicable.

## Item 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON.

See Item 2.

# Item IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE 7. SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY.

Not applicable.

## Item 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP.

Not applicable.

## Item 9. NOTICE OF DISSOLUTION OF GROUP.

Not applicable.

## Item 10. CERTIFICATION.

Each of the Reporting Persons hereby makes the following certification:

By signing below each Reporting Person certifies that, to the best of its knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

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## **SIGNATURES**

After reasonable inquiry and to the best of its knowledge and belief, each of the undersigned certifies that the information set forth in this statement is true, complete and correct.

DATE: December 5, 2016

## NUMERIC INVESTORS LLC

By: /s/ Nadine Le Gall Name: /s/ Nadine Le Gall Title: Chief Compliance Officer

## MAN GROUP PLC

By: /s/ Michelle Robyn Grew Name: Michelle Robyn Grew Title: Chief Administrative Officer

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## JOINT FILING AGREEMENT

The undersigned acknowledge and agree that the foregoing statement on Schedule 13G is filed on behalf of each of the undersigned and that all subsequent amendments to this statement on Schedule 13G shall be filed on behalf of each of the undersigned without the necessity of filing additional joint filing agreements. The undersigned acknowledge that each shall be responsible for the timely filing of such amendments, and for the completeness and accuracy of the information concerning him or it contained herein and therein, but shall not be responsible for the completeness and accuracy of the information concerning the others, except to the extent that he or it knows or has reason to believe that such information is inaccurate.

DATED as of December 5, 2016

## NUMERIC INVESTORS LLC

By: /s/ Nadine Le Gall Name: /s/ Nadine Le Gall Title: Chief Compliance Officer

#### MAN GROUP PLC

By: /s/ Michelle Robyn Grew Name: Michelle Robyn Grew Title: Chief Administrative Officer